

香港中央結算有限公司
(香港交易及結算所有限公司全資附屬公司)

HONG KONG SECURITIES CLEARING COMPANY LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: Admission of the units of ChinaAMC Hang Seng Hong Kong Biotech Index ETF to CCASS as Multi-counter Eligible Securities

- HKD traded units (Stock Code: 3069)
- USD traded units (Stock Code: 9069)
- RMB traded units (Stock Code: 83069)

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Units of ChinaAMC Hang Seng Hong Kong Biotech Index ETF (“ChinaAMC ETF”) is currently traded on The Stock Exchange of Hong Kong Limited (“Exchange”) in Hong Kong Dollars (“HKD”) under the stock code “3069” (“HKD Counter”) and in US Dollar (“USD”) under the stock code “9069” (USD Counter). Pursuant to the announcement issued on 19 December 2023 by the Manager of the ChinaAMC ETF, China Asset Management (Hong Kong) Limited ([url: https://www1.hkexnews.hk/listedco/listconews/sehk/2023/12/19/2023121900836.pdf](https://www1.hkexnews.hk/listedco/listconews/sehk/2023/12/19/2023121900836.pdf)), the units in the ChinaAMC ETF will be available for trading on the Exchange in Renminbi Dollars (“RMB”) under a Multi-counter arrangement in addition to its existing HKD and USD Counter as follows with effect from 19 January 2024 with details as below:

Counter	Stock Code	Stock Short Name	Trading Currency	Board Lot Size
HKD	3069	CAM HSBIOTECH	HKD	100 units
USD	9069	CAM HSBIOTECH-U	USD	100 units
RMB	83069	CAM HSBIOTECH-R	RMB	100 units

HKD traded units of the ChinaAMC ETF in the HKD Counter (Stock Code: 3069) have been admitted to CCASS as Eligible Security since 18 March 2021¹, USD traded units of the ChinaAMC ETF in the USD Counter (Stock Code: 9069) have been admitted to CCASS as Eligible Security since 30 June 2021². The units of the ChinaAMC ETF will be

¹ Participants can refer to HKSCC’s circulars (Ref Number: CD/DNS/CCASS/078/2021) issued on 17 March 2021 and regarding the admission of the HKD traded units of the Fund to CCASS.

² Participants can refer to HKSCC’s circulars (Ref Number: CD/DNS/CCASS/208/2021) issued on 28 June 2021 and regarding the admission of the USD traded units of the Fund to CCASS.

accepted by HKSCC as Multi-counter Eligible Securities (as defined in the General Rules of HKSCC) upon the admission of the RMB traded units of the ChinaAMC ETF in the RMB counter (Stock Code: 83069) ("RMB Counter") to CCASS as Eligible Security on 19 January 2024.

Units of the ChinaAMC ETF in the HKD Counter, USD Counter and RMB Counter will be treated as three separate Eligible Securities in CCASS. Participants are requested to note the following HKSCC arrangements under the multiple counter arrangement:

1. Inter-counter Transfer of Multi-counter Eligible Securities within CCASS

Participants will be able to effect inter-counter transfer of the units between the HKD counter, USD counter and the RMB Counter on a one to one basis within CCASS by either inputting a "Multi-counter Transfer Instruction" before 3:45 p.m. or uploading the transfer instruction to CCASS before 2:30 p.m. through CCASS Terminals. The instruction will be effected immediately if the relevant number of the units to be transferred is available in the Participant's delivering stock account in CCASS. Otherwise, the instruction will be processed in the next batch transfer run (five batch transfer runs available daily at around 9:15 a.m., 10:15 a.m., 12:30 p.m., 2:30 p.m. and 3:30 p.m.). If the relevant number of the units to be transferred is subsequently available at or before the commencement of batch transfer run, the instruction will be effected during the batch transfer run. Any outstanding instruction will be automatically cancelled by the system after the last batch transfer run at 3:30 p.m. on the same day. In such case, Participants are required to re-submit a "Multi-counter Transfer Instruction" should the relevant units be subsequently available in their delivering stock accounts between 3:30 p.m. and 3:45 p.m.

Participants are also requested to note that there must be sufficient number of units to be transferred in their specified delivering stock account for any instruction input between 3:30 p.m. and 3:45 p.m. in order for the instruction to be accepted by CCASS. Otherwise, such instruction will be rejected immediately.

For detailed operational procedures, please refer to sections 5.17 and 8.2.13 of HKSCC Terminal User Guide for Participants. Participants are required to log on to Client Connect to access the Terminal User Guide.

2. Clearing and Settlement of the Units

Same as other CCASS Eligible Securities, the Exchange Trades of the Units will be cleared inside CCASS and settled on T+2 under Continuous Net Settlement ("CNS") system unless they are isolated from the CNS system by both trading counterparties or by HKSCC and settled on a trade-for-trade ("TFT") basis.

Exchange trades executed under the respective counters will be cleared and settled in CCASS as three individual stocks. After netting under the CNS system, there will be one CNS stock position for the HKD counter, one CNS stock position for the USD counter and one CNS stock position for the RMB counter. There is no inter-counter position netting. Participants should ensure sufficient Units are available in their stock accounts to settle their CNS short positions on T+2 under respective counters.

3. CCASS Fees and Charges

Fees and charges for the units under multiple counters will be payable by HKSCC Participants in accordance with the General Rules of HKSCC and HKSCC Operational Procedures.

All activities under CCASS are subject to the General Rules of HKSCC and HKSCC Operational Procedures in effect from time to time.

Elaine Choy
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