

**Public Hearing Agenda**  
**Retirement Security Rule: Definition of an Investment Advice Fiduciary**

**Day 1: December 12, 2023**

**9:00 a.m.      Opening Remarks by Assistant Secretary Lisa M. Gomez**

**9:15 a.m.      Panel 1**

American Benefits Council

*Lynn Dudley*

American Retirement Association

*Brian Graff*

Dana M. Muir

Securities Industry and Financial Markets Association

*Lisa Bleier*

**10:15 a.m.      Break**

**10:30 a.m.      Panel 2**

AFL-CIO

*Candace Archer*

American Council of Life Insurers

*Susan K. Neely*

Consumer Federation of America

*Micah Hauptman*

Financial Services Institute

*Mark Smith*

**11:30 a.m.      Break**

**11:45 a.m.      Panel 3**

Better Markets Inc.

*Stephen W. Hall*

National Association of Insurance and Financial Advisors

*Bryon Holz*

*Charles Ross, Sr.*

U.S. Chamber of Commerce

*Chantel Sheaks*

**12:30 p.m. Lunch**

**1:30 p.m. Panel 4**

Davis & Harman LLP

*Kent Mason*

Federation of Americans for Consumer Choice

*Kim O'Brien*

*Don Colleluori*

Public Citizen

*Bartlett Naylor*

Ron A. Rhoades

**2:30 p.m. Break**

**2:45 p.m. Panel 5**

AARP

*David Certner*

The Committee of Annuity Insurers

*Michael L. Hadley*

Insured Retirement Institute

*Wayne Chopus*

*Jason Berkowitz*

Kathleen M. McBride

**3:45 p.m. Break**

**4:00 p.m. Panel 6**

Americans for Financial Reform

*Ivan Cazarin*

Groom Law Group, Chartered

*Jennifer Eller*

Institute for the Fiduciary Standard

*Knut Rostad*

**4:45 p.m. Hearing adjourns for day**

**Day 2: December 13, 2023**

**9:00 a.m. Panel 1**

Certified Financial Planner Board of Standards, Inc.

*Daniel Moisand*

Family Investment Center

*Dan Danford*

National Association for Fixed Annuities

*Chuck DiVencenzo*

*Thomas Roberts*

*Pam Heinrich*

**9:45 a.m. Break**

**10:00 a.m. Panel 2**

Financial Planning Association

*Patrick Mahoney*

Finseca

*Marc Cadin*

National Association of Personal Financial Advisors

*Daphne Jordan*

**10:45 a.m. Break**

**11:00 a.m. Panel 3**

Benjamin P. Edwards

Investment Company Institute

*Elena Barone Chism*

Public Investors Advocate Bar Association

*Joseph C. Peiffer*

*Jennifer Shaw*

**11:45 p.m. Lunch**

**1:00 p.m. Panel 4**

American Investment Council

*Michael Kreps*

*George Sepsakos*

Cetera Financial Group

*Mark Quinn*

Insurance Coalition

*Kendra Kosko Isaacson*

Pension Rights Center

*Norman P. Stein*

*Janice C. Winston*

**2:00 p.m. Break**

**2:15 p.m. Panel 5**

Alternative and Direct Investment Securities Association

*John H. Grady*

Betterment

*Joshua Rubin*

National Pension Partners

*Nicholas Paleveda*

SPARK Institute, Inc.

*Adam McMahon*

**3:15 p.m. Break**

**3:30 p.m. Panel 6**

American Bankers Association

*Timothy E. Keehan*

Collective Wealth Partners

*Kamila Elliot*

Institute for Portfolio Alternatives

*Kevin L. Walsh*

Donald K. Jones

**4:30 p.m. Hearing adjourns**