Surveillance Obligations for Trading Members

In terms of provisions of the Rules, Bye-Laws and Business Rules of the Exchange and in partial modification to Exchange circular no: MCX/S&I/796/2020 dated October 26, 2020 and Master circular no: MCX/S&I/432/2023 Dated June 30, 2023, the Trading Members of the Exchange are notified as under:

With a view to facilitate effective surveillance at the Trading Member level, the Exchange has been providing transactional alerts to Trading Members in accordance with above referred Exchange circulars, so as to facilitate the Trading Members to detect any abnormality (if any) w.r.t. Client trading activity at the initial stage itself.

As per the above-referred circulars, Members are required to provide the status of the transection alerts to the Exchange on a quarterly basis as per the format within 15 days from the last trading day of the respective quarter. In view of the same, the Exchange has introduced a new reporting format of "Surveillance Alerts" and details of the same are prescribed in Annexure.

The Exchange shall continue to provide these alerts to the Trading Members through website https://www.mcxindia.com → Integrated Portal →MRS Icon (Surveillance Alerts).

The Trading Members are requested to analyse these alerts and revert in case of adverse observations, if any, through MRS portal and/ or to the Exchange at transalert@mcxindia.com.

Annexure - User manual for submission of MRS Details

Step 1. Please visit Exchange website https://www.mcxindia.com → Integrated Portal →MRS Icon (Surveillance Alerts)

Step 2. Login credential will be same as MMPI portal.

 Please note:
1. Don't use back button at any point on the portal.
2. Do not leave the system idle for long interval, else the system would log out automatically.

Screen will appear as below:
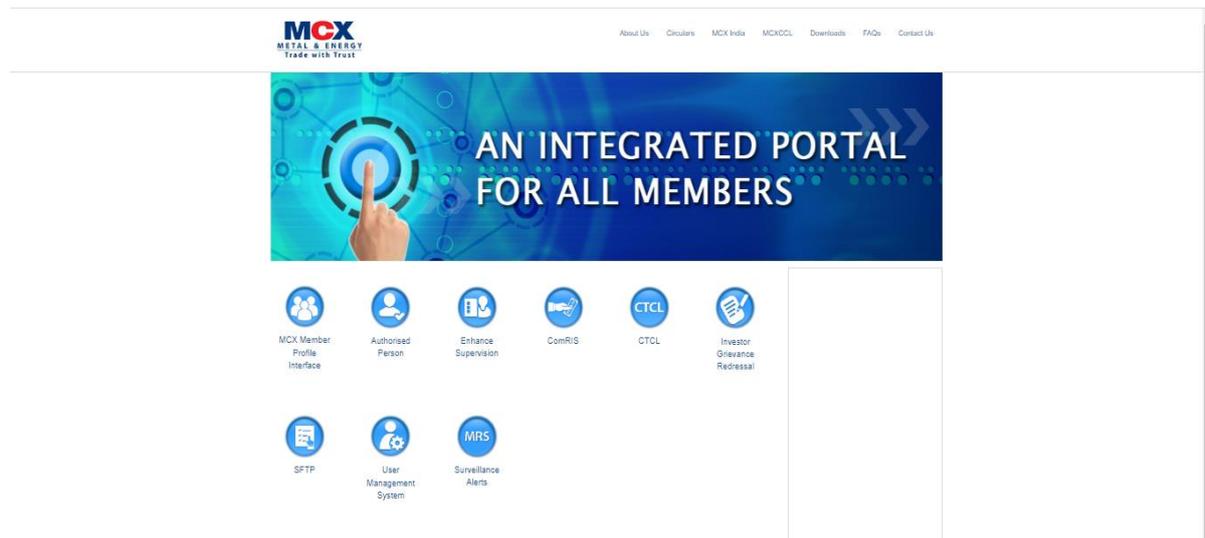
Step 3. Login in MRS Icon (Surveillance Alerts). Please note Login credential will be same as MMPI portal
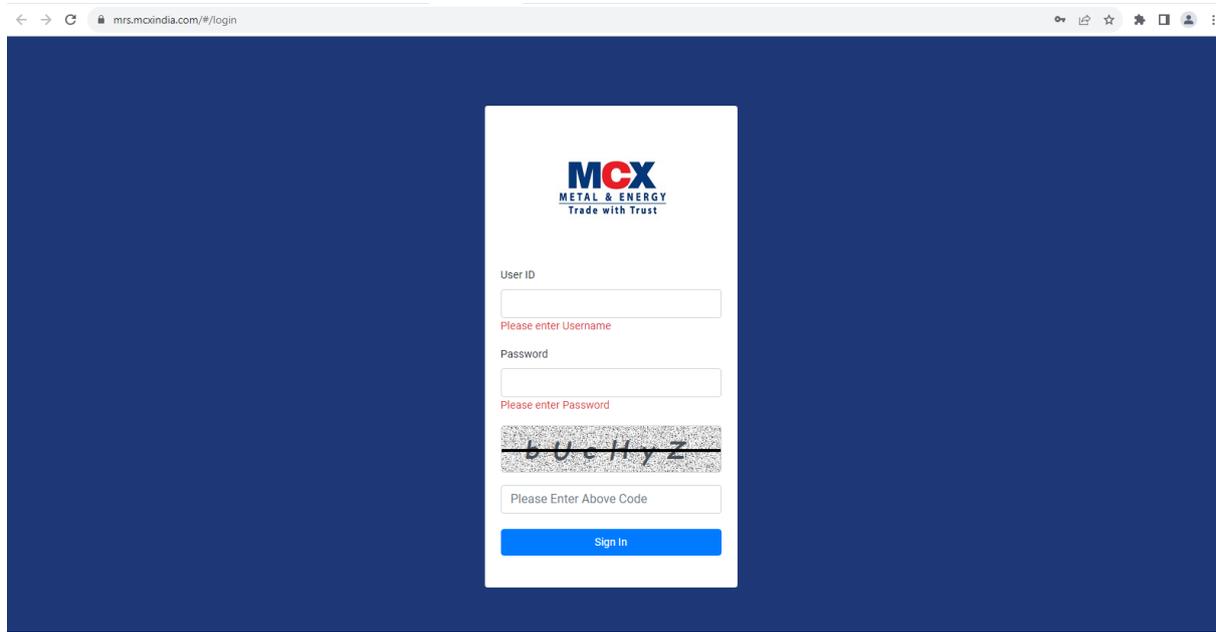
Step 4. When member logs in, the following screen will appear along with the "MRS Tab" at the left side.
Click on the "MRS" Tab
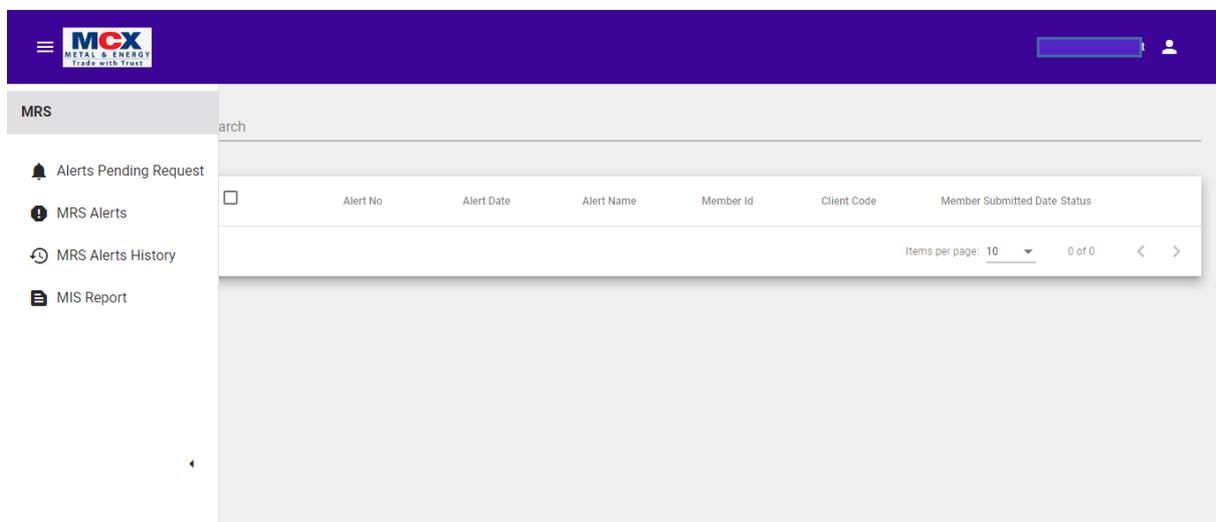
Step 5. The below options are available
- Alerts pending Request
- MRS Alerts
- MRS Alerts History
- MIS Report
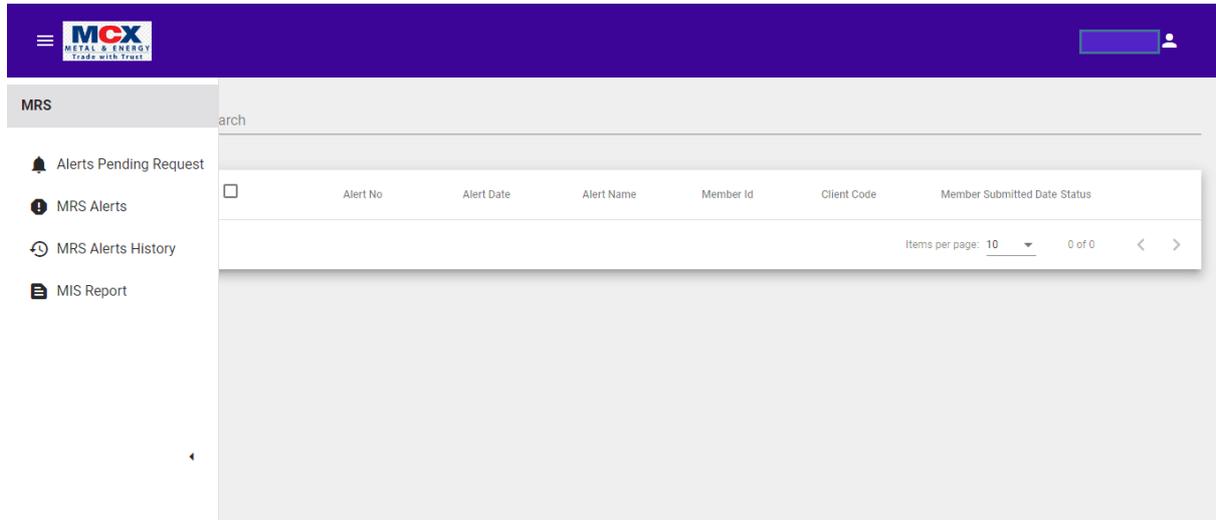
Step 6. On selecting the 'Alerts pending Request' the below page will be displayed. Please click on "Generate Report" button to get the details.
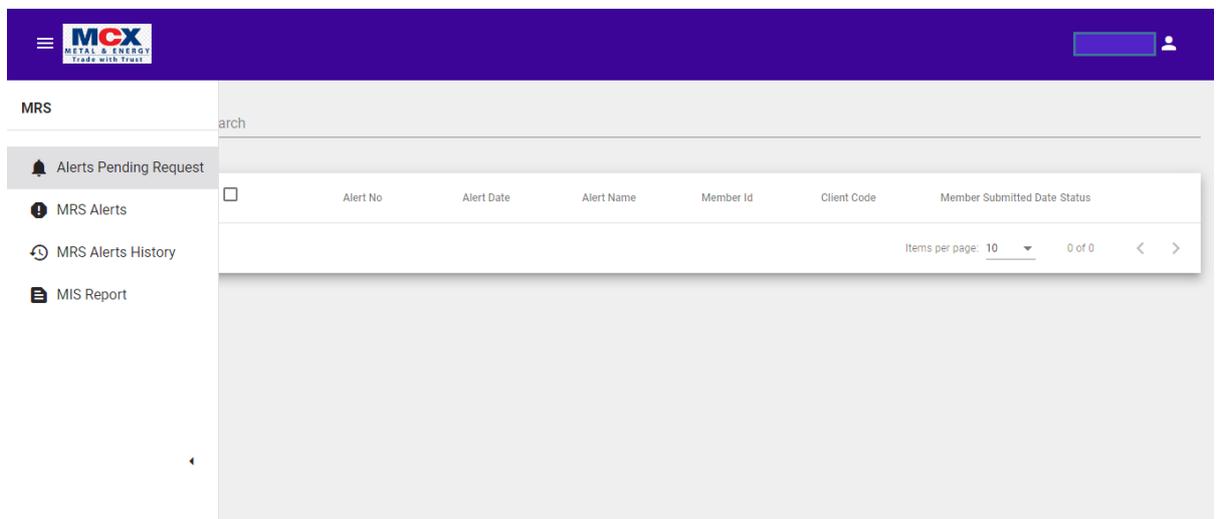
Step 7. On selecting the 'MRS Alerts' the below page will be displayed.
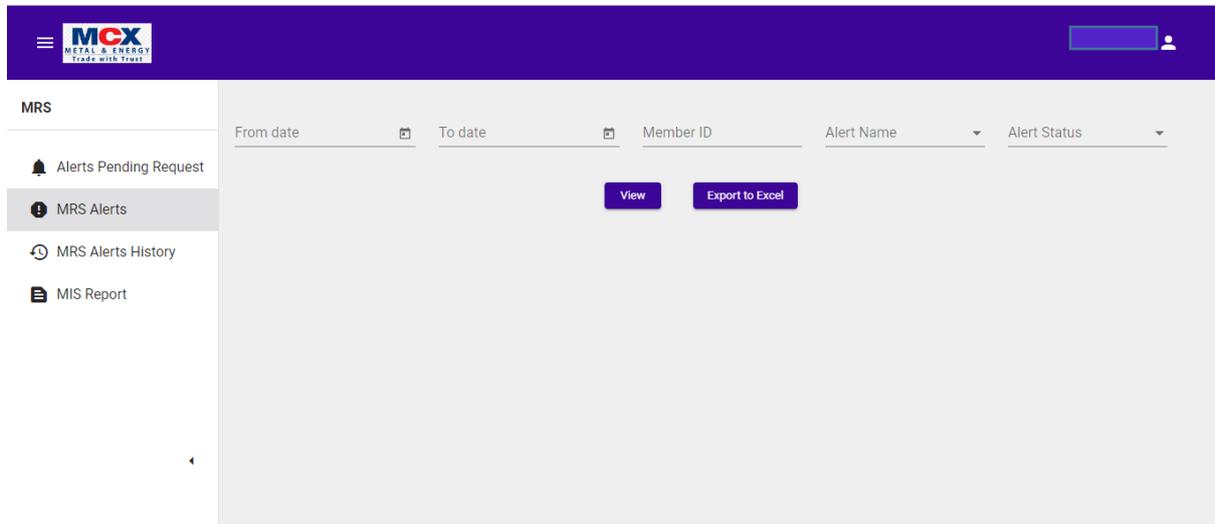
- Please click on "Alert Name" button to get the details.
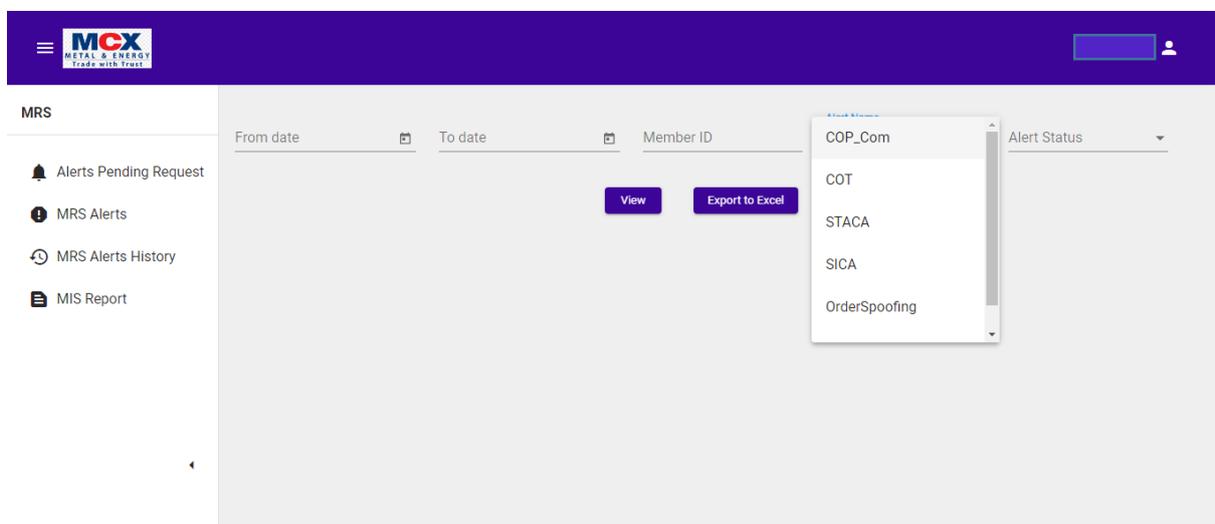
Please click on "Alert status" button to get the details.
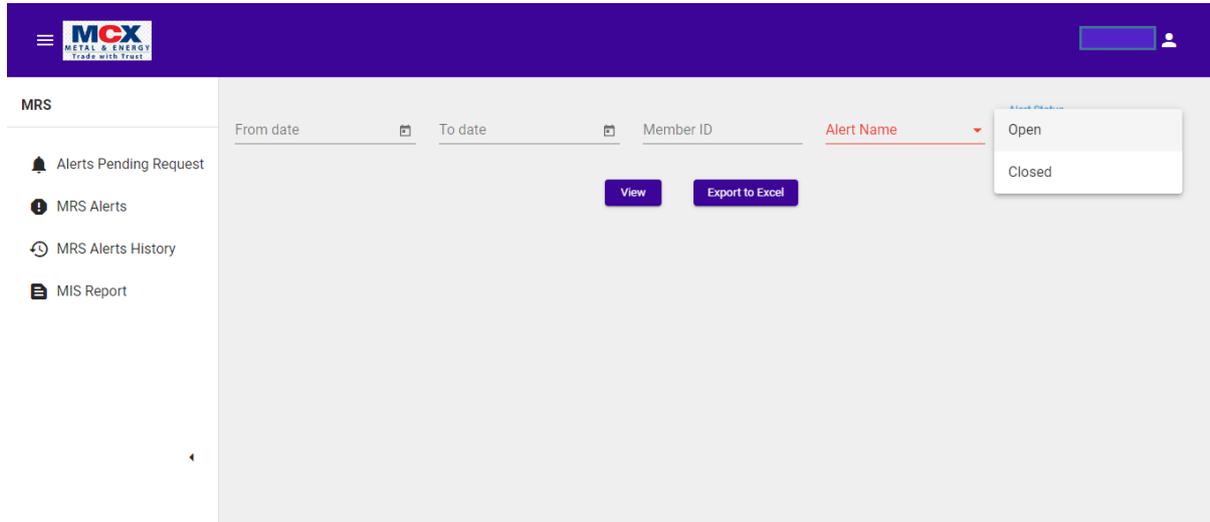
Then Press "View" button to get the details.
Or
Press "Export to Excel" to download the file.

Step 8. On selecting the 'MRS Alerts History' the below page will be displayed.
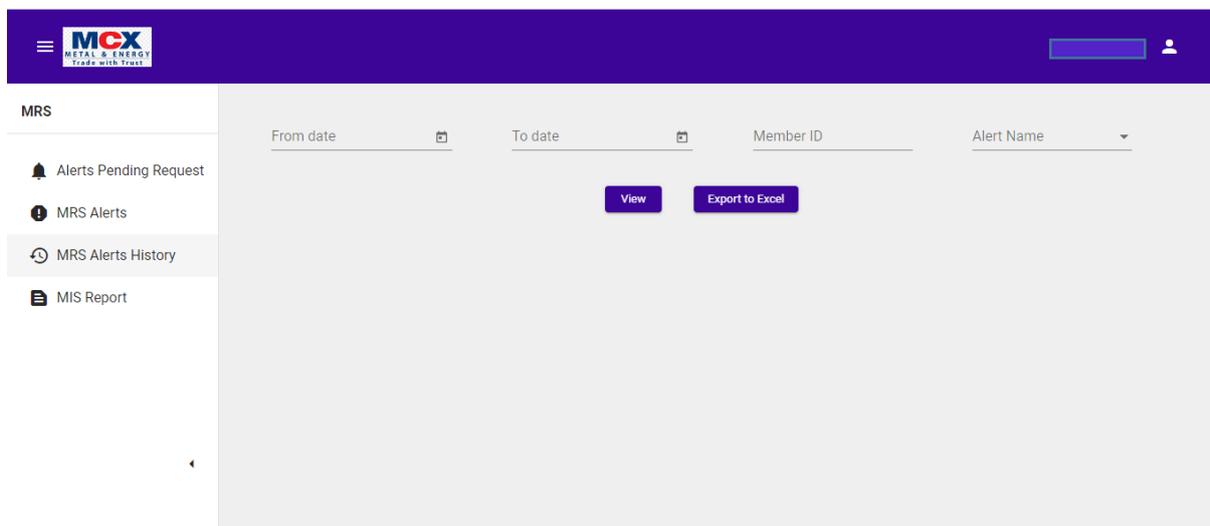
- Please click on "Alert Name" button to get the details.
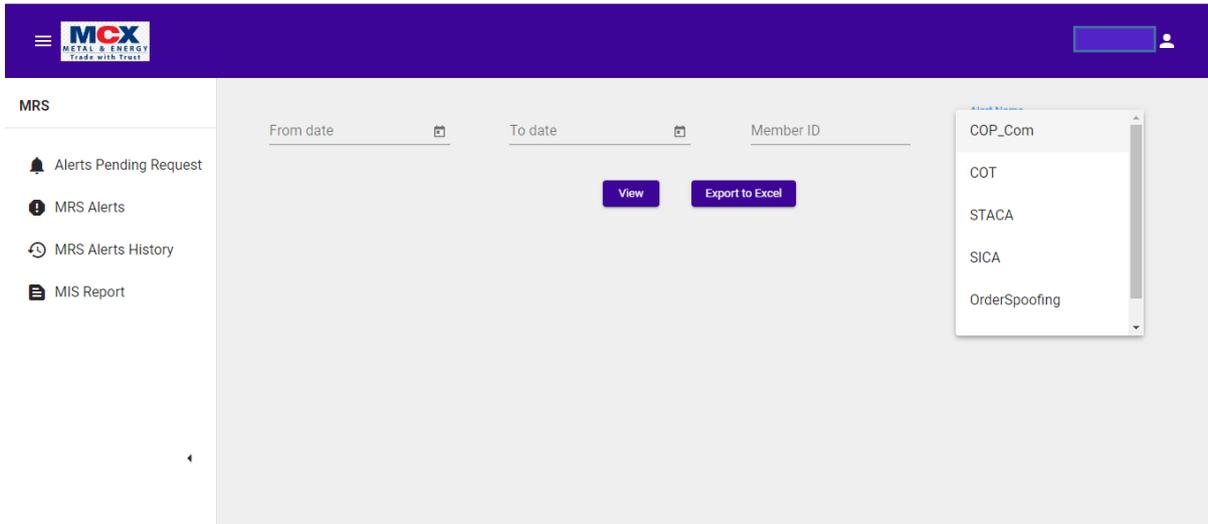
Step 9. On selecting the 'MIS Report' the below page will be displayed.
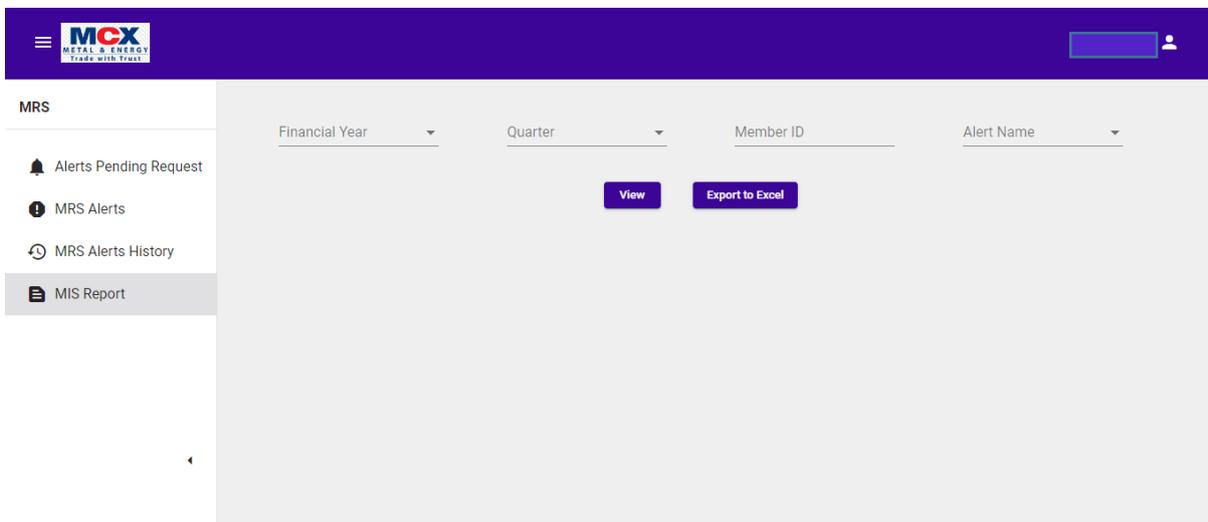
- Please click on "Alert Name" button to get the details.
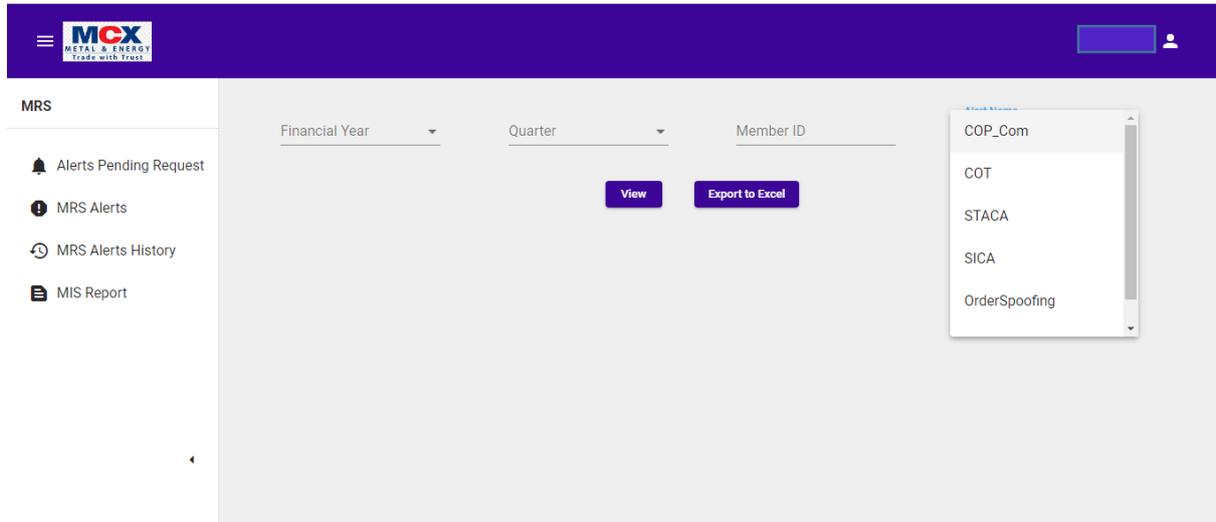
Members are requested to take note of the above.

Ayanansu Panda
Asst.Vice President

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Kindly contact Customer Support on 022 - 6649 4040 or send an email at customersupport@mcxindia.com for further clarification.