



## ANTI-MONEY LAUNDERING DIVISION

### NOTICE

- TO:** SECURITIES BROKERS AND DEALERS, INVESTMENT HOUSES, UNDERWRITERS OF SECURITIES, GOVERNMENT SECURITIES ELIGIBLE DEALERS, INVESTMENT COMPANIES/MUTUAL FUND, INVESTMENT COMPANY ADVISERS, MUTUAL FUND DISTRIBUTORS, REIT FUND MANAGERS, FINANCING COMPANIES AND LENDING COMPANIES
- SUBJECT:** **REMINDER ON THE REQUIREMENT TO ATTEND ORGANIZED TRAININGS BY THE ANTI-MONEY LAUNDERING COUNCIL (AMLC) AND SUBMIT PROOF OF TRAINING TO THE ANTI-MONEY LAUNDERING DIVISION**
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This is to remind **all SEC covered persons (CPs) which have not yet attended** any AML/CTF training for 2024. The Commission's CPs have until **15 September 2024** to attend and to submit proof of 2024 Anti-Money Laundering Council (AMLC) trainings.

To ensure that covered persons are updated and informed with the new developments brought about by new legislations, rules and regulations, and other AMLC issuances, all SEC covered persons' directors, officers and/or employees are to **attend and participate** in the **2024 Anti-Money Laundering/Counter-Terrorism Financing (AML/CTF) training sessions on AML/CTF Fundamentals, AMLC Registration and Reporting Guidelines (ARRG) and Targeted Financial Sanctions (TFS)** organized and provided by the AMLC.

All SEC covered persons are directed to **submit a copy of the Certificate of Participation** received as proof to the Anti-Money Laundering Division of the Enforcement and Investor Protection Department (AMLD-EIPD) of the Commission.

For the step-by-step procedures on how to comply with the requirement, you may access the link below:

<https://www.sec.gov.ph/wp-content/uploads/2024/05/2024Notice-to-Covered-Persons-on-Training-Requirement-1.pdf>

To know more about the requirement, you may click on the link below on the relevant Frequently Asked Questions:

<https://www.sec.gov.ph/amf-notices/frequently-asked-questions-on-the-requirement-to-attend-organized-training-sessions-by-the-anti-money-laundering-council-amlc-for-2024/#gsc.tab=0>

For inquiries, please email the AMLD-EIPD at [eipd-amld@sec.gov.ph](mailto:eipd-amld@sec.gov.ph).

For information and strict compliance.

*AML/AMLCTF*