

Ameriprise Financial

Ameriprise Financial is a diversified financial services firm with a 130-year legacy of helping clients achieve their financial goals. With extensive investment advice, wealth management, asset management and insurance capabilities, the company serves the full range of individual and institutional investors' financial needs.

Consistent with the company's priority to meet clients' financial needs, the company has an important interest in advocating within the legislative and regulatory process for laws and regulations that help investors achieve financial security.

The following is a summary of the company's related policies, practices and priorities.

Corporate Political Contributions

Ameriprise Financial does not make corporate contributions in the U.S. at the federal, state or local level to candidates for public office, political party committees, or political action committees, even when permitted to do so by law. The company's [Statement of Principles Governing Corporate Political Spending](#) expressly prohibits the use of corporate funds for the purpose of electing or defeating a clearly identified candidate for federal, state, or local political office.

In the U.S., the company sponsors the Ameriprise Financial Political Action Committee (the Ameriprise PAC), a federal political action committee registered with the Federal Election Commission. Ameriprise PAC is funded solely through voluntary employee and eligible advisor contributions. Ameriprise does not sponsor any state or local political action committees. Ameriprise PAC's political contributions are reported to the Federal Election Commission and are publicly available at: www.fec.gov/data

Lobbying Activities

Ameriprise Financial recognizes that laws and regulations impact every aspect of the company's operations and ability to serve clients fully. Accordingly, the company believes it is important to monitor, evaluate and engage effectively in the policy process in the multiple jurisdictions in which we operate. The Government Relations Department, under the oversight of the General Counsel, coordinates with subject matter experts across the business to identify and manage legislative and regulatory priorities and issues of interest to the company, advisors, clients and shareholders.

The company discloses all U.S. federal lobbying costs and the issues to which they relate pursuant to applicable federal and state laws. The U.S. lobbying reports are publicly available at [Home | Lobbying Disclosure \(senate.gov\)](#). For the year ending December 31, 2023, Ameriprise Financial's federal lobbying costs totaled \$1,010,000. This total includes the portion of trade

association dues that are attributable to federal and state lobbying.¹ For the same period, the company incurred \$130,000 in costs attributable to state lobbying.

Trade Associations

Ameriprise Financial participates in a number of industry groups and trade associations representing the interests of relevant sectors of the financial services industry that align to its diversified financial services business model, as well as in groups representing the interests of the broader business community. The company believes participation in these organizations advances durable public policy, supports the professional development of employees, and assists Ameriprise in monitoring and preparing for changes to legislation and regulation. The company reviews these memberships on a regular basis and informs industry groups of our corporate policy prohibiting the use of industry dues for political activities; these groups acknowledge this policy and indicate their compliance annually.

In 2023, Ameriprise Financial did not pay dues, assessments or contributions to any tax-exempt organizations in the U.S. that are primarily organized to write, endorse, or promote model legislation.

The following page lists industry groups and trade associations for which the company pays \$25,000 or more in annual dues, assessments, or contributions.

¹ The lobbying data disclosed here is consistent with the report required under the Lobbying Disclosure Act. Under Internal Revenue Code section (IRC) 162(e), the lobbying costs and lobbying portion of trade associations dues that is disclosed to the firm are not deductible for tax purposes.

2023 AMERIPRISE INDUSTRY ASSOCIATION DUES		
Annual Dues, Assessments and Contributions of \$25,000 or more to Industry Associations		
Organization	Lobbying* (\$)	Total Dues (\$)
American Bankers Association	10,919	109,188
American Benefits Council	7,560	28,000
American Council of Life Insurers	83,342	547,064
American Securities Association	18,750	125,000
Assn of California Life and Health Insurers	1,750	25,000
Bank Insurance & Securities Association	-	27,500
Bond Dealers of America	3,750	25,000
Business Roundtable	84,000	300,000
Compliance & Ethics Forum for Life Insurers	-	44,000
Consumer Bankers Association	22,260	42,000
Employee Benefit Research Institute	-	53,500
International Swaps and Derivatives Association	327	25,143
Investment Advisor Association	2,550	25,500
Investment Company Institute	-	973,349
Life Insurance Council of New York	9,750	39,000
LIMRA	-	225,868
LOMA	-	68,840
MIB Group Inc.	-	57,153
MN Business Partnership	13,275	37,929
MN Insurance and Financial Services Council	44,550	67,500
Money Management Institute	-	32,500
Securities Industry & Financial Markets Association	166,895	1,011,483
Securities Industry & Financial Mkts Assn-Asset Managers Group	20,398	123,625
U.S. Chamber of Commerce	91,000	260,000

**Non-deductible portion of dues computed pursuant to I.R.C. §162(e) and Treas. Reg. §1.162.29

International		
Eumedion		35,640 (EUR)
EFAMA		40,382 (EUR)
Pensions and Lifetime Saving Assn		110,526 GBP
The Investment Association		410,055 GBP

Ameriprise Financial, Inc. Corporate Political Spending Report

For the period January 1, 2023, through December 31, 2023			
Contributions to State and Local Candidates			
Name of Recipient	State	Amount	Date
NONE			

For the period January 1, 2023, through December 31, 2023			
Contributions to State and Local Political Parties, Committees, and Organizations			
Name of Recipient	State	Amount	Date
NONE			