

Disciplinary Notice

Denial of Trading Access to ICE Futures U.S. Markets: Jean-Baptiste Zeller

In accordance with ICE Futures U.S., Inc. (“Exchange”) Rule 21.17(d), Jean-Baptiste Zeller is barred from directly or indirectly trading on any Exchange market for failing to make payment of a monetary penalty as part of a disciplinary action. The trading access denial shall remain in effect until the monetary penalty is paid in full.

For more information:

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Key Information Documents for certain products covered by the EU Packaged Retail and Insurance-based Investment Products Regulation can be accessed on the relevant exchange website under the heading “Key information Documents (KIDS),” commencing January 1, 2019.