

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3664/2024/722
Dated: September 17, 2024

By Special Messenger

01. **Quayum Securities Limited (DSE TREC No. 038)**, Room # 188, DSE Tower (Level-10), Plot # 46, Road # 21, Nikunja-2, Dhaka-1229.
02. **Ms. Nur-E-Nadiya, Chairman & Compliance Authority**, Quayum Securities Limited, Room # 188, DSE Tower (Level-10), Plot # 46, Road # 21, Nikunja-2, Dhaka-1229.
03. **Mr. Nayeem Md. Quayum, Managing Director**, Quayum Securities Limited, Room # 188, DSE Tower (Level-10), Plot # 46, Road # 21, Nikunja-2, Dhaka-1229.
04. **Mr. Rakesh Kumar Deb, Digital Booth In-Charge & Authorized Representative**, Quayum Securities Limited, Room # 188, DSE Tower (Level-10), Plot # 46, Road # 21, Nikunja-2, Dhaka-1229.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/3664/2024/680 dated 29 August 2024 based on which the hearing was conducted on 08 September 2024.

The Commission, considering your explanation vide letter ref. QSL/ADMN/BSEC/341 dated 08.09.2024 has decided to dispose of the proceedings against you/your's by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Rubel
17-09-2024

Rubel Hossain
Assistant Director (Enforcement)

Copy for information:

1. Chairman Office, BSEC.
2. PO to all Commissioner, BSEC.
3. PO to Executive Director (MIAD), BSEC; with reference to DSE letter no. DSE/MCD/BSEC/2024/019/2678 dated 08th May 2024.
- ✓ 4. PO to Executive Director (ICTD), BSEC.
5. Managing Director, Dhaka Stock Exchange PLC.