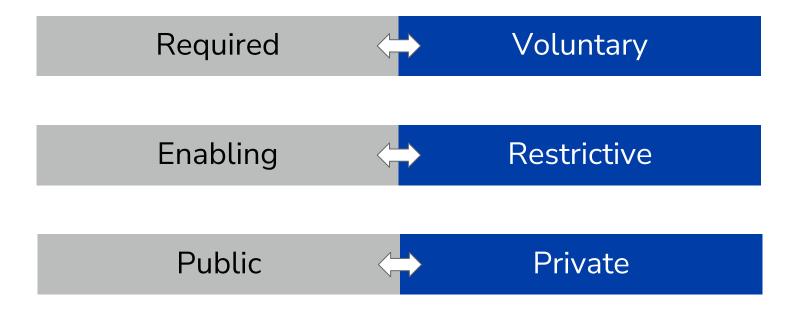




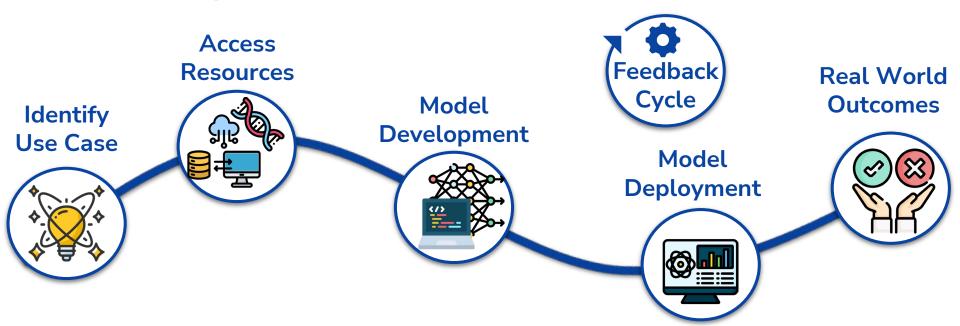
Governance Across the AI Spectrum: Key Considerations from Data to Deployment

9/19/24 | OHRP Exploratory Workshop Steph Batalis, PhD | Research Fellow stephanie.batalis@georgetown.edu | cset.georgetown.edu

What is Governance?









Access Resources







Model Development





Real World Outcomes

Model Deployment





Governance Before Models are Built

- Set research priorities (incentives, norms, funding)
- Establish norms for responsible AI (outreach, researcher engagement)
- Create resources and facilitate or restrict their availability (funding, government initiatives, public-private partnerships)



Access Resources

Model **Development**



Feedback Cycle

Real World Outcomes

Model

Deployment



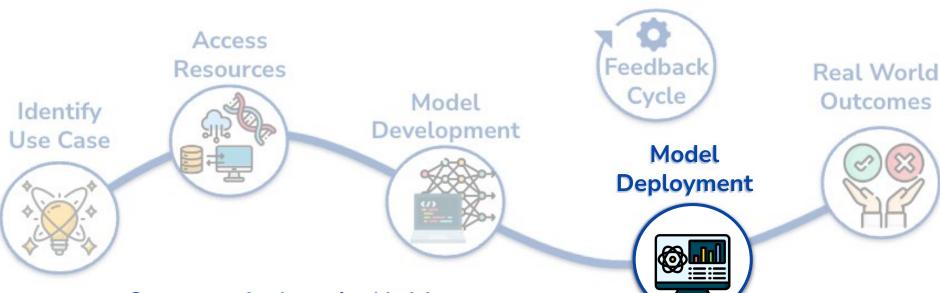
- Govern who develops models (incentives, funding, restrict access)
- **Shape how models are developed** (best practices, standards/specs)
- Assess models for specific outcomes (regulations, government initiatives, incentivize research)



Identify

Use Case

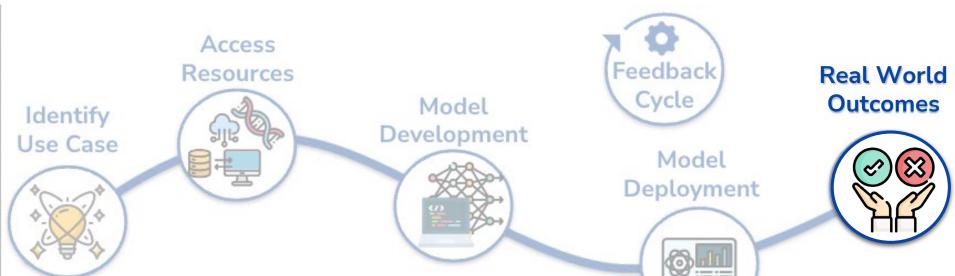
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Governance for Accessing Models

Promote or limit access to models (regulations, guidance, incentives, best practices, outreach)

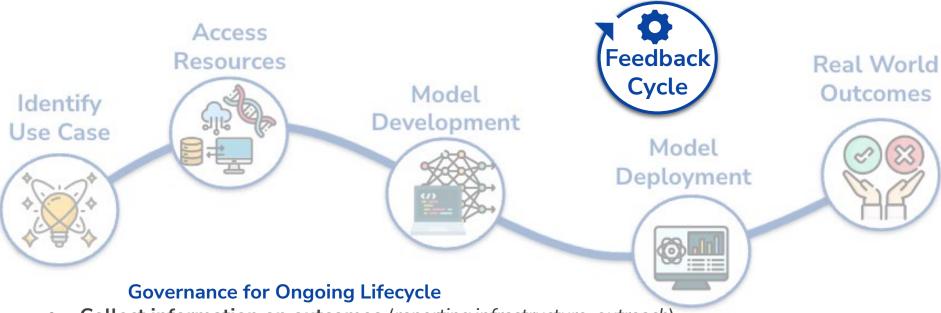




Governance for Decision-Making with Model Predictions

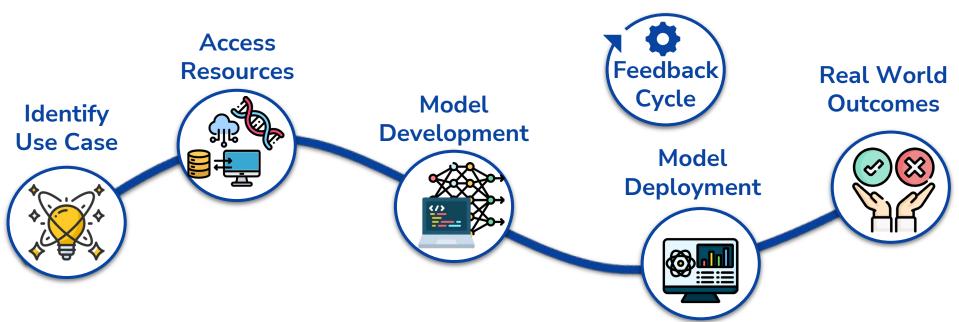
- **Promote adoption** (incentives, streamline the regulatory process)
- Guidance for using predictions (regulations, norms, outreach, research)





- Collect information on outcomes (reporting infrastructure, outreach)
- Conduct research to understand outcomes (incentives, funding, outreach)
- Build on models with future development (incentives, funding, public-private partnerships)







Case Study: Governing Data

Access Feedback Resources Real World Cycle Outcomes Model Identify Development Use Case Model Deployment



Case Study: Governing Data

Biodata: personal and/or biological data

- Medical history
- Genome sequences
- Test results
- Medical images
- Lifestyle factors

Why do we need governance for biodata?

- Prioritize research areas
- Risks to participants
- Input for future steps in AI development





Case Study: Governing Data

Governance Goals...





Limit Data Bias

...and Options











Takeaways and scene-setting:

- Governance is more than regulation
- Opportunity to promote, restrict, inform, encourage...
- Identify governance goals to choose appropriate tools

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