



UNITED STATES DEPARTMENT OF COMMERCE
National Oceanic and Atmospheric Administration
NATIONAL MARINE FISHERIES SERVICE
1315 East-West Highway
Silver Spring, Maryland 20910

December 15, 2022

Kevin Feldheim, Ph.D.
Field Museum
1400 S. Lake Shore Drive
Chicago, Illinois 60605

Dear Dr. Feldheim:

The National Marine Fisheries Service (NMFS) has issued Permit No. 26973 to the Field Museum for research on protected species parts (angelsharks). This permit is effective upon your signature and is valid through December 31, 2027. To use your permit:

1. Read the permit, including attachments. If you have questions, email your permit analysts – Jennifer Skidmore (jennifer.skidmore@noaa.gov) and Erin Markin, Ph.D. (erin.markin@noaa.gov) before signing the permit.
2. Sign and date the signature page.
3. Keep the original signature page with your permit.
4. Return a copy of the signature page to our office by:
 - a. Email to your permit analyst; or
 - b. Mail (NMFS Permits and Conservation Division (F/PR1), 1315 East-West Hwy, Silver Spring, MD 20910).

Import/Export: All samples imported or exported must be declared and cleared through a U.S. Fish and Wildlife Service (USFWS) port designated for wildlife (50 CFR 14.12) and a Wildlife Declaration Form 3-177 must be filed with the USFWS inspector at the time of import or export. Contact the appropriate port for details about how to declare and clear your shipment *prior* to shipping your samples. The list of designated ports and Form 3-177 may be obtained from: <https://www.fws.gov/media/wildlife-trade-designated-portspdf>.

Please keep your contact information current in our online database (<https://apps.nmfs.noaa.gov>). You will receive automated email reminders of due dates for annual and final reports and a notice prior to expiration of your permit.

Sincerely,

SLOAN.AMY.C
.1365868545

Digitally signed by
SLOAN.AMY.C.1365868545
Date: 2022.12.15 16:20:15
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Jolie Harrison
Chief, Permits and Conservation Division
Office of Protected Resources

Enclosure





Permit No. 26973
Expiration Date: December 31, 2027
Reports Due: March 31, annually

PERMIT TO IMPORT AND EXPORT PROTECTED SPECIES¹ PARTS FOR SCIENTIFIC PURPOSES

I. Authorization

This permit is issued to Field Museum, 1400 S. Lake Shore Drive, Chicago, Illinois, (hereinafter “Permit Holder”), [Responsible Party: Kevin Feldheim, Ph.D], pursuant to the provisions of the Endangered Species Act of 1973 (ESA; 16 U.S.C. 1531 *et seq.*) and the regulations governing the taking, importing, and exporting of endangered and threatened species (50 CFR Parts 222-226).

II. Abstract

The objective of the research is to investigate the population genetics and basic breeding biology of three species of angelsharks.

III. Terms and Conditions

The activities authorized herein must occur by the means, in the areas, and for the purposes set forth in the permit application, and as limited by the Terms and Conditions specified in this permit, including attachments and appendices. Permit noncompliance constitutes a violation and is grounds for permit modification, suspension, or revocation, and for enforcement action.

A. Duration of Permit

1. Personnel listed in Condition C.1 of this permit (hereinafter “Researchers”) may conduct activities authorized by this permit through December 31, 2027. This permit expires on the date indicated and is non-renewable. This permit may be extended by the Director, NMFS Office of Protected Resources or the Chief, Permits and Conservation Division (hereinafter “Permits Division”), pursuant to applicable regulations and the requirements of the ESA.
2. Researchers must immediately stop permitted activities and the Permit Holder must contact the Permits Division for written permission to resume if authorized numbers for import or export are exceeded. See Condition E.2 for reporting requirements.

¹ “Protected species” include species listed as threatened or endangered under the ESA, and marine mammals.



B. Number and Kinds of Protected Species, Locations and Permitted Activities

1. The table in Appendix A outlines the number of animals, by species, from which protected species parts may be imported or exported, and the locations and time periods in which these activities may occur.
2. This permit does not authorize the take² of any protected species.
3. Biological samples³ may be obtained from samples would be from live capture research activities, primarily in the Northeastern Atlantic (i.e., samples from other authorized persons or collections).
4. Biological samples obtained from sources in B.3 above may be exported and re-imported.
5. Protected species parts imported under the authority of this permit must be taken in compliance with the ESA and any applicable foreign law. Importation of protected species parts is subject to the provisions of 50 CFR Part 14.
6. The Permit Holder must comply with the following conditions for biological samples acquired or possessed under authority of this permit.
 - a. The Permit Holder is ultimately responsible for compliance with this permit and applicable regulations related to the samples unless the samples are permanently transferred per Condition B.6.d.
 - b. Biological samples must be maintained according to accepted curatorial standards and must be labeled with a unique identifier (e.g., alphanumeric code) that is connected to on-site records with information identifying the:
 - i. Species and, where known, age and sex;
 - ii. Date of collection, acquisition, or import;
 - iii. Type of sample (e.g., blood, fin clips);
 - iv. Origin (i.e., where collected or imported from); and
 - v. Legal authorization for original sample collection or import.
 - c. For temporary transfers:
 - i. The Permit Holder may designate Authorized Recipients (ARs) for analysis and curation of samples related to the permit objectives.

² A take under the ESA means to harass, harm, pursue, hunt, shoot, wound, kill, trap, capture, or collect, or attempt to do so.

³ Biological samples include, but are not limited to: carcasses (whole or parts); and any tissues, fluids, or other specimens from live or dead protected species.

The Permit Holder must maintain a record of the transfer including the following:

1. Name and affiliation of the AR;
 2. Address of the AR;
 3. Types of samples sent (species, tissue type);
 4. Type of analysis; and
 5. Whether samples will be consumed in analysis, returned to the Permit Holder, curated, or destroyed.
- ii. The Permit Holder must provide a written copy of the AR designation and the permit per Condition D.3 when transferring samples to the AR (contact your permit analyst for an example letter).
 - iii. Samples remain in the legal custody of the Permit Holder while in the possession of ARs. The Permit Holder remains responsible for the samples, including any reporting requirements.
- d. For permanent transfers: If the Permit Holder wishes to permanently transfer angelshark samples (i.e., relinquish custody), all subsequent recipients must maintain a copy of this permit to document the legal collection of the samples.
 - e. This permit does not authorize the creation or use of protected species cell lines.
 - f. Samples cannot be bought or sold. This does not apply to reimbursement associated with actual costs (e.g., shipment or transport costs).
 - g. After meeting the permitted objectives, the Permit Holder may continue to possess and use (excluding export) biological samples acquired under this permit, including after permit expiration, without additional written authorization. The samples must be maintained as specified in the permit and a copy of the permit must be kept with the samples forever.

C. Qualifications, Responsibilities, and Designation of Personnel

1. At the discretion of the Permit Holder, the following Researchers may participate in the conduct of the permitted activities in accordance with their qualifications and the limitations specified herein:

- a. Principal Investigator – Kevin Feldheim, Ph.D.;
 - b. Co-Investigator(s) – none listed; and
 - c. Research Assistants – personnel identified by the Permit Holder or Principal Investigator and qualified to act pursuant to Conditions C.2, C.3, and C.4 of this permit.
2. Individuals conducting permitted activities must possess qualifications commensurate with their roles and responsibilities. The roles and responsibilities of personnel operating under this permit are as follows:
- a. The Permit Holder is ultimately responsible for activities of individuals operating under the authority of this permit. Where the Permit Holder is an institution/facility, the Responsible Party is the person at the institution/facility who is responsible for the supervision of the Principal Investigator.
 - b. The Principal Investigator (PI) is the individual primarily responsible for the taking, import, export and related activities conducted under the permit. The PI must be on site during activities conducted under this permit unless a Co-Investigator named in Condition C.1 is present to act in place of the PI.
 - c. Co-Investigators (CIs) are individuals who are qualified to conduct activities authorized by the permit, for the objectives described in the application, without the on-site supervision of the PI. CIs assume the role and responsibility of the PI in the PI's absence.
 - d. Research Assistants (RAs) are individuals who work under the direct and on-site supervision of the PI or a CI. RAs cannot conduct permitted activities in the absence of the PI or a CI.
3. Persons who require state or Federal licenses to conduct activities authorized under the permit must be duly licensed when undertaking such activities.
4. The Permit Holder cannot require or receive direct or indirect compensation from a person approved to act as PI, CI, or RA under this permit in return for requesting such approval from the Permits Division.
5. The Permit Holder or PI may add CIs by submitting a request to the Chief, Permits Division that includes a description of the individual's qualifications to conduct and oversee the activities authorized under this permit. If a CI will only be responsible for a subset of permitted activities, the request must also specify the activities for which they would provide oversight.

6. Where the Permit Holder is an institution, the Responsible Party may request a change of PI by submitting a request to the Chief, Permits Division that includes a description of the individual's qualifications to conduct and oversee the activities authorized under this permit.
7. Submit requests to add CIs or change the PI by one of the following:
 - a. The APPS online system at <https://apps.nmfs.noaa.gov>;
 - b. An email attachment to the permit analysts for this permit; or
 - c. A hard copy mailed to the Chief, Permits Division, Office of Protected Resources, NMFS, 1315 East-West Highway, Room 13705, Silver Spring, MD 20910; phone (301)427-8401.

D. Possession of Permit

1. This permit cannot be transferred or assigned to any other person.
2. The Permit Holder and persons operating under the authority of this permit must possess a copy of this permit when:
 - a. Engaged in a permitted activity;
 - b. A protected species part is in transit incidental to a permitted activity; and
 - c. A protected species part imported or exported under the permit is in the possession of such persons.
3. A duplicate copy of this permit must accompany or be attached to the container, package, enclosure, or other means of containment in which a protected species part is placed for purposes of storage or transit.

E. Reports

1. The Permit Holder must submit annual, final, and incident reports containing the information and in the format specified by the Permits Division.
 - a. Reports must be submitted to the Permits Division by one of the following:
 - i. The APPS online system at <https://apps.nmfs.noaa.gov>;
 - ii. An email attachment to the permit analysts for this permit; or
 - iii. A hard copy mailed to the Chief, Permits Division.

- b. You must contact your permit analysts for a reporting form if you do not submit reports through the online system.
 2. Incident reports: must be submitted within two weeks of exceeding authorized import or export, as specified in Condition A.2.
 - a. The incident report must include a complete description of the events and identification of steps that will be taken to reduce the potential for exceeding authorized import or export.
 - b. In addition to the written report, the Permit Holder must contact the Permits Division by phone (301-427-8401) as soon as possible, but no later than within two business days of the incident.
 - c. The Permits Division may grant authorization to resume permitted activities based on review of the incident report and in consideration of the Terms and Conditions of this permit.
 3. Annual reports describing activities conducted during the previous permit year (from January 1 to December 31 of the following year) must:
 - a. Be submitted by March 31 each year the permit is valid; and
 - b. Include a tabular and narrative accounting of activities (Appendix D).
 4. A joint annual/final report including a discussion of whether the objectives were achieved must be submitted by (March 31, 2028), or, if the research concludes prior to permit expiration, within 90 days of completion of the research.
 5. Research results must be published or otherwise made available to the scientific community in a reasonable period of time. Copies of technical reports, conference abstracts, papers, or publications resulting from permitted research must be submitted the Permits Division upon request.
- F. Coordination: To the maximum extent practical, the Permit Holder must coordinate permitted activities with activities of other Permit Holders conducting the same or similar studies on the same species.
- G. Observers and Inspections
 1. NMFS may review activities conducted under this permit. At the request of NMFS, the Permit Holder must cooperate with any such review by:
 - a. Allowing any employee of NOAA or other person designated by the Director, NMFS Office of Protected Resources to observe permitted activities; and

- b. Providing all documents or other information relating to the permitted activities.

H. Modification, Suspension, and Revocation

1. Permits are subject to suspension, revocation, modification, and denial in accordance with the provisions of Subpart D [Permit Sanctions and Denials] of 15 CFR Part 904.
2. The Director, NMFS Office of Protected Resources may modify, suspend, or revoke this permit in whole or in part:
 - a. In order to make the permit consistent with any change made after the date of permit issuance with respect to applicable regulation prescribed under Section 4 of the ESA;
 - b. In a case in which a violation of the terms and conditions of the permit is found;
 - c. In response to a written request⁴ from the Permit Holder;
 - d. If NMFS determines that the application or other information pertaining to the permitted activities (including, but not limited to, reports pursuant to Section E of this permit and information provided to NOAA personnel pursuant to Section G of this permit) includes false information; and
 - e. If NMFS determines that the authorized activities will operate to the disadvantage of threatened or endangered species or are otherwise no longer consistent with the purposes and policy in Section 2 of the ESA.
3. Issuance of this permit does not guarantee or imply that NMFS will issue or approve subsequent permits or modifications for the same or similar activities, including those of a continuing nature, requested by the Permit Holder.

I. Penalties and Permit Sanctions

1. A person who violates a provision of this permit, the ESA, or the regulations at 50 CFR Parts 222-226 is subject to civil and criminal penalties, permit sanctions, and forfeiture as authorized under the ESA and 15 CFR Part 904.

⁴ The Permit Holder may request changes to the permit related to: the objectives or purposes; the species or number of animals, and the location, time, or manner of taking/collecting, importing and exporting protected species. Such requests must be submitted in writing to the Chief, Permits Division in the format specified in the application instructions.

2. The NMFS Office of Protected Resources shall be the sole arbiter of whether a given activity is within the scope and bounds of the authorization granted in this permit.
 - a. The Permit Holder must contact the Permits Division for verification before conducting the activity if they are unsure whether an activity is within the scope of the permit.
 - b. Failure to verify, where the NMFS Office of Protected Resources subsequently determines that an activity was outside the scope of the permit, may be used as evidence of a violation of the permit, the ESA, and applicable regulations in any enforcement actions.

J. Acceptance of Permit

1. In signing this permit, the Permit Holder:
 - a. Agrees to abide by all terms and conditions set forth in the permit, all restrictions and relevant regulations under 50 CFR Part 222-226, and all restrictions and requirements under the ESA;
 - b. Acknowledges that the authority to conduct certain activities specified in the permit is conditional and subject to authorization by the NMFS Office Director; and
 - c. Acknowledges that this permit does not relieve the Permit Holder of the responsibility to obtain any other permits, or comply with any other Federal, State, local, or international laws or regulations.

DAMON
 RANDALL.KIMBERLY.BETH.136 5821093
 Digitally signed by DAMON
 RANDALL.KIMBERLY.BETH.1365821093
 Date: 2022.12.15 16:06:35 -05'00'

12/15/2022

Kimberly Damon-Randall
 Director, Office of Protected Resources
 National Marine Fisheries Service

Date Issued

Kevin Feldheim, Ph.D.
 Lab Manager, Field Museum
 Responsible Party

Date Effective

Appendix A: Table Specifying the Kinds of Protected Species, Locations, and Authorized Activities

Table A1. Annual import and export of samples from the angelshark species listed below. No takes of live animals are authorized. Sources of samples are identified in Condition B.3. Angelshark parts may be imported and exported at any time of year. Parts may be analyzed and curated at the Field Museum, Chicago, Illinois.

Line No.	Species	Origin	Life Stage	Sex	No. Animals per Year	No. Samples per Animal	Authorized Action
1	Angelshark, common	Wild	All	Male and Female	800	Unlimited	Export; Import
2	Angelshark, sawback	Wild	All	Male and Female	40	Unlimited	Export; Import
3	Angelshark, smoothback	Wild	All	Male and Female	40	Unlimited	Export; Import

Appendix B: Protected Species Parts Permit Annual Report Form

Reports may be submitted:

- a. Through the online system at <https://apps.nmfs.noaa.gov>;
- b. By email attachment to the permit analysts for this permit; or
- c. By hard copy mailed to the Chief, Permits Division, Office of Protected Resources, NMFS, 1315 East-West Highway, Suite 13705, Silver Spring, MD 20910; phone (301)427-8401.

If you do not intend to submit your report online, please contact your permit analyst for an electronic report form to fill out and return.

Date: _____ Reporting Period: _____

Permit Number: _____ Permit Holder's Name: _____

Contact Name: _____ Contact Email: _____

Contact Phone #: _____ (Contact = person submitting report)

Part I: APPS and Sample Tables.

Instructions for filling out the tables:

1. APPS Table. Enter information on the actual number of animals of each species from which parts were imported, exported, or received during this reporting period according to the tables in your permit.
2. Sample Table. Provide more specific information in a separate table to document the legal collection and receipt of samples imported, exported, or received during this reporting period. Copies of such documentation must be submitted the Permits Division, upon request.

You must fill out and submit one of the following:

- The provided excel table; or
- Your own tracking table, provided it includes all the required information as described in the excel table.

Part II: Narrative.

Provide responses to the questions in APPS to the best of your ability and as applicable to your activities (overview on <https://www.fisheries.noaa.gov/national/reports-protected-species-permits>). If a question is not applicable, explain why.



EXPORT AND RE-IMPORT OF MUSEUM SPECIMENS (U.S. Endangered Species Act)



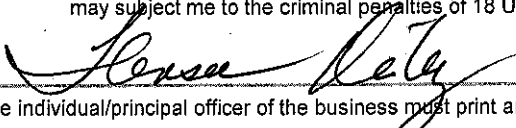
New Reissue/Renew Amendment

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C.**

A. Complete if applying as an individual			
1.a. Last name	1.b. First name	1.c. Middle name or initial	1.d. Suffix
2. Date of birth (mm/dd/yyyy)	5.a. Telephone number	5.b. Alternate telephone number	6. E-mail address

B. Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution			
1.a. Name of business, agency, Tribe, or institution Field Museum		1.b. Doing business as (dba)	
2. Tax identification no. 36-2167011	3.a. Description of business, agency, Tribe, or institution Natural History Museum		3.b. Website URL (if applicable) www.fieldmuseum.org
4.a. Principal officer (P.O.) last name Siggers	4.b. P.O. first name Julian	4.c. P.O. middle initial	4.b. P.O. Title President
5. Primary contact name Theresa Reilly		6. Primary e-mail address treilly@fieldmuseum.org	
7.a. Business telephone number 312-665-7827	7.b. Alternate phone no.	8.a. Primary contact telephone no. 312-665-7827	

C. All applicants complete address information				
1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes) 1400 S. Lake Shore Drive				
1.b. City Chicago	1.c. State IL	1.d. Zip code/Postal code 60605	1.e. County/Province Cook	1.f. Country USA
2.a. Mailing Address (include if different than physical address; include name of contact person if applicable)				
2.b. City	2.c. State	2.d. Zip code/Postal code	2.e. County/Province	2.f. Country

D. All applicants MUST complete	
1. Include a check or money order, payable to the U.S. FISH AND WILDLIFE SERVICE, a nonrefundable processing fee [50 CFR 13.11(d)(4)]. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))	
2. If you are requesting a reissue/renew/amendment, what is your permit/file number?	
3. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.	
 04/17/2023	
The individual/principal officer of the business must print and sign the application. (No photocopied or stamped signatures) Date (mm/dd/yyyy)	

** Further instructions for the above application may be found on our ePermits website. See the last page for information on the Privacy Act, Paperwork Reduction Act, Estimated Burden, and Freedom of Information Act aspects of this application form.

Mail your application(s) to Division of Management Authority, Branch of Permits, MS:IA 5275 Leesburg Pike, Falls Church, VA 22041-3803.

E. EXPORT AND RE-IMPORT OF MUSEUM SPECIMENS (U.S. ENDANGERED SPECIES ACT)

Note 1: An ESA museum permit only authorizes export and re-import of specimens currently accessioned in your museum. If specimens of species are protected by CITES, Marine Mammal Protection Act, Migratory Bird Treaty Act, or Bald and Golden Eagle Protection Act, you are required to obtain additional authorizations prior to any export or re-import of specimens. Contact DMA for the necessary application.

Note 2: For the export or re-import of specimens of ESA-listed species that are not part of your museum collection or have not yet been collected, please submit Form 3-200-37.

For renewals, include the original permit and the annual report (see Form 3-200-40a). The report is a summary of all exports and re-imports conducted under your permit for the past year, including species' scientific name and common name of specimen sent or received, date of activity, acquisition number, description, receiving/sending institution, and country.

1. Name and address where you wish the permit to be mailed, if different from physical address. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via regular mail through the U.S. Postal Service.

2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?

Theresa Reilly, 312-665-7827, treilly@fieldmuseum.org

3. Have you or any at your institution, been assessed a civil penalty or convicted of any criminal provision of any statute or regulation relating to the activity for which the application is filed; been convicted, or entered a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act; forfeited collateral; OR are currently under charges for any violation of the laws mentioned above?

No Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court, and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

4. This is for a

New application
 Renewal/Amendment of Permit # _____

5. If relevant information about the requesting institution or collection is available online, please provide the URL:

6. Is the institution or collection accredited by an organization such as the Index Herbarium or American Alliance of Museums:

Yes. Indicate the name of the accrediting organization(s) and **provide supporting documentation**, such as a website, copy of accreditation, or other such evidence.

No. Provide copies or other evidence of information related to the institution's standards for scientific integrity, record-keeping, accessibility to, and management of the collection.

7. Are the collections and associated data permanently housed and professionally curated? If yes, how long have the collections been permanently housed and professionally curated. Provide the physical address for the collection and the name and contact information for the curator.

Yes No

8. Are all accessions properly recorded in a permanent catalogue?

Yes (If catalogue or collection is available online, provide the URL _____)

No

9. As appropriate, enter approximate number of accessioned specimens:

248,327 Mammals

550,150 Birds

12,733,110 Insects/Arachnids

4,927,004 Other Invertebrates

302,304 Reptiles/Amphibians

2,394,181 Vascular Plants

99,5728 Fish

578,822 Non-vascular Plants

___ Other (provide description: _____)

10. Do you maintain any specimens of species protected under the Marine Mammal Protection Act?

Yes No

a. If "yes," do you intend to export or re-import these specimens? No

b. If "yes", provide a copy of your MMPA authorization for this activity.

11. Do you maintain or intend to obtain any specimens of species protected under CITES?

Yes No

a. If "yes", do you intend to export or re-import these specimens? No

b. If "yes", provide a copy of your CITES authorization for this activity.

12. Do you maintain or intend to obtain any specimens of species protected under the Migratory Bird Treaty Act?

Yes No

a. If "yes", do you intend to export or re-import these specimens? No

b. If "yes", provide a copy of your MBTA authorization for this activity.

13. Do you maintain any specimens of species protected under the Bald and Golden Eagle Protection Act?

Yes No

- a. If "yes", do you intend to export or re-import these specimens? No
 b. If "yes", provide a copy of your BGEPA authorization for this activity.

14. Are specimens accessible to qualified users from outside your institution?

Yes No

ENTER approximate number	In the United States	Outside the United States
Loans last year	250	
Exchanges last year		
Donations last year		

15. Are permanent records maintained on specimens that are loaned or transferred?

Yes No

16. Are specimens acquired primarily for the purpose of research, the results of which are to be reported in scientific publications?

Yes No

17. Are specimens prepared and the collections arranged in a manner that ensures their accessibility?

Yes No

18. Are data on specimen labels, permanent catalogues, and other records as accurate as possible?

Yes No

19. To the best of your knowledge, were the specimens in the collection acquired legally under the relevant laws of the country where they were obtained and the United States (e.g., import permits, collection permits, take permits, landowner permission)?

Yes No

20. Are all specimens of endangered and threatened species permanently and centrally housed under direct control of the scientific institution?

Yes No

21. Are all specimens of endangered and threatened species intended for exchange managed in such a manner to preclude their use solely as decorations or trophies, or for other purposes incompatible with the principles of the ESA?

Yes No

22. Please attach a statement of how the activities carried out by your institution will enhance or benefit the endangered or threatened species intended for exchange (e.g., identify recovery tasks from the recovery plan, or describe how the research activities will benefit the species under the Act).

All international shipment(s) must be through a designated port. A list of designated ports (where an inspector is posted) is available. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (Form 3-200-2).

NOTICES

CITES Permit Application # CS1848937

Ahearn, Genevieve M <genevieve_ahearn@fws.gov>

Fri 9/29/2023 6:30 AM

To:treilly@fieldmuseum.org <treilly@fieldmuseum.org>

Good morning Ms. Reilly,

We received an application for the export and re-import of museum specimens listed under the Endangered Species Act (ESA). We apologize for the delay in processing your permit. Our office is currently experiencing a significant backlog of applications. The application has been reviewed and appears to be missing information. Please provide the following:

1. Please provide a URL containing relevant information about the requesting institution and/or collection, or indicate if one does not exist, as requested in question 5 on page 2 of the application.
2. Please provide supporting documentation for your accreditation status, as requested in question 6 on page 3 of the application.
3. Please provide all information requested in question 7 on page 3 of the application.
4. Please provide a URL for your catalogue or collection, or indicate if one does not exist, as requested in question 8 on page 3 of the application.
5. Please provide the statement requested in question 22 on page 4 of the application.

*In accordance with 50 CFR 13.11(e), if the requested information is not received by this office **within 45 calendar days** of the date of this email, your application will be abandoned and administratively closed. Once a file is closed, you will need to submit a new application, and all required fees, for the Service to consider your proposed activity.*

Thank you for your patience and we apologize for the inconvenience. Please respond with the information requested and let us know if you have any questions.

Sincerely,

Genevieve Ahearn
U.S. Fish and Wildlife Service
International Affairs, Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041



EXPORT AND RE-IMPORT OF MUSEUM SPECIMENS (U.S. Endangered Species Act)



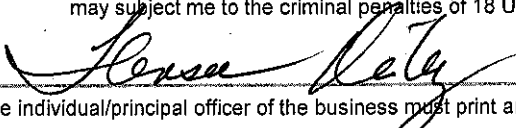
New Reissue/Renew Amendment

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C.**

A. Complete if applying as an individual			
1.a. Last name	1.b. First name	1.c. Middle name or initial	1.d. Suffix
2. Date of birth (mm/dd/yyyy)	5.a. Telephone number	5.b. Alternate telephone number	6. E-mail address

B. Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution			
1.a. Name of business, agency, Tribe, or institution Field Museum		1.b. Doing business as (dba)	
2. Tax identification no. 36-2167011	3.a. Description of business, agency, Tribe, or institution Natural History Museum		3.b. Website URL (if applicable) www.fieldmuseum.org
4.a. Principal officer (P.O.) last name Siggers	4.b. P.O. first name Julian	4.c. P.O. middle initial	4.b. P.O. Title President
5. Primary contact name Theresa Reilly		6. Primary e-mail address treilly@fieldmuseum.org	
7.a. Business telephone number 312-665-7827	7.b. Alternate phone no.	8.a. Primary contact telephone no. 312-665-7827	

C. All applicants complete address information				
1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes) 1400 S. Lake Shore Drive				
1.b. City Chicago	1.c. State IL	1.d. Zip code/Postal code 60605	1.e. County/Province Cook	1.f. Country USA
2.a. Mailing Address (include if different than physical address; include name of contact person if applicable)				
2.b. City	2.c. State	2.d. Zip code/Postal code	2.e. County/Province	2.f. Country

D. All applicants MUST complete	
1. Include a check or money order, payable to the U.S. FISH AND WILDLIFE SERVICE, a nonrefundable processing fee [50 CFR 13.11(d)(4)]. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))	
2. If you are requesting a reissue/renew/amendment, what is your permit/file number?	
3. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.	
 04/17/2023	
The individual/principal officer of the business must print and sign the application. (No photocopied or stamped signatures) Date (mm/dd/yyyy)	

** Further instructions for the above application may be found on our ePermits website. See the last page for information on the Privacy Act, Paperwork Reduction Act, Estimated Burden, and Freedom of Information Act aspects of this application form.

Mail your application(s) to Division of Management Authority, Branch of Permits, MS:IA 5275 Leesburg Pike, Falls Church, VA 22041-3803.

E. EXPORT AND RE-IMPORT OF MUSEUM SPECIMENS (U.S. ENDANGERED SPECIES ACT)

Note 1: An ESA museum permit only authorizes export and re-import of specimens currently accessioned in your museum. If specimens of species are protected by CITES, Marine Mammal Protection Act, Migratory Bird Treaty Act, or Bald and Golden Eagle Protection Act, you are required to obtain additional authorizations prior to any export or re-import of specimens. Contact DMA for the necessary application.

Note 2: For the export or re-import of specimens of ESA-listed species that are not part of your museum collection or have not yet been collected, please submit Form 3-200-37.

For renewals, include the original permit and the annual report (see Form 3-200-40a). The report is a summary of all exports and re-imports conducted under your permit for the past year, including species' scientific name and common name of specimen sent or received, date of activity, acquisition number, description, receiving/sending institution, and country.

1. Name and address where you wish the permit to be mailed, if different from physical address. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via regular mail through the U.S. Postal Service.

2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?

Theresa Reilly, 312-665-7827, treilly@fieldmuseum.org

3. Have you or any at your institution, been assessed a civil penalty or convicted of any criminal provision of any statute or regulation relating to the activity for which the application is filed; been convicted, or entered a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act; forfeited collateral; OR are currently under charges for any violation of the laws mentioned above?

No Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court, and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

4. This is for a

New application
 Renewal/Amendment of Permit # _____

5. If relevant information about the requesting institution or collection is available online, please provide the URL:

www.fieldmuseum.org

6. Is the institution or collection accredited by an organization such as the Index Herbarium or American Alliance of Museums:

Yes. Indicate the name of the accrediting organization(s) and provide supporting documentation, such as a website, copy of accreditation, or other such evidence.

No. Provide copies or other evidence of information related to the institution's standards for scientific integrity, record-keeping, accessibility to, and management of the collection.

7. Are the collections and associated data permanently housed and professionally curated? If yes, how long have the collections been permanently housed and professionally curated. Provide the physical address for the collection and the name and contact information for the curator.

Yes No **Collections are housed at: Field Museum, 1400 S. Lake Shore Drive, Chicago, IL 60605**

Curator: Kevin Feldheim, 312-665-7770, kfeldheim@fieldmuseum.org

8. Are all accessions properly recorded in a permanent catalogue?

Yes (If catalogue or collection is available online, provide the URL www.fieldmuseum.org/departments/animals)
 No

9. As appropriate, enter approximate number of accessioned specimens:

248,327 Mammals

550,150 Birds

12,733,110 Insects/Arachnids

4,927,004 Other Invertebrates

302,304 Reptiles/Amphibians

2,394,181 Vascular Plants

99,5728 Fish

578,822 Non-vascular Plants

___ Other (provide description: _____)

10. Do you maintain any specimens of species protected under the Marine Mammal Protection Act?

Yes No

a. If "yes," do you intend to export or re-import these specimens? No

b. If "yes", provide a copy of your MMPA authorization for this activity.

11. Do you maintain or intend to obtain any specimens of species protected under CITES?

Yes No

a. If "yes", do you intend to export or re-import these specimens? No

b. If "yes", provide a copy of your CITES authorization for this activity.

12. Do you maintain or intend to obtain any specimens of species protected under the Migratory Bird Treaty Act?

Yes No

a. If "yes", do you intend to export or re-import these specimens? No

b. If "yes", provide a copy of your MBTA authorization for this activity.

13. Do you maintain any specimens of species protected under the Bald and Golden Eagle Protection Act?

Yes No

- a. If "yes", do you intend to export or re-import these specimens? No
 b. If "yes", provide a copy of your BGEPA authorization for this activity.

14. Are specimens accessible to qualified users from outside your institution?

Yes No

ENTER approximate number	In the United States	Outside the United States
Loans last year	250	
Exchanges last year		
Donations last year		

15. Are permanent records maintained on specimens that are loaned or transferred?

Yes No

16. Are specimens acquired primarily for the purpose of research, the results of which are to be reported in scientific publications?

Yes No

17. Are specimens prepared and the collections arranged in a manner that ensures their accessibility?

Yes No

18. Are data on specimen labels, permanent catalogues, and other records as accurate as possible?

Yes No

19. To the best of your knowledge, were the specimens in the collection acquired legally under the relevant laws of the country where they were obtained and the United States (e.g., import permits, collection permits, take permits, landowner permission)?

Yes No

20. Are all specimens of endangered and threatened species permanently and centrally housed under direct control of the scientific institution?

Yes No

21. Are all specimens of endangered and threatened species intended for exchange managed in such a manner to preclude their use solely as decorations or trophies, or for other purposes incompatible with the principles of the ESA?

Yes No

22. Please attach a statement of how the activities carried out by your institution will enhance or benefit the endangered or threatened species intended for exchange (e.g., identify recovery tasks from the recovery plan, or describe how the research activities will benefit the species under the Act).

All international shipment(s) must be through a designated port. A list of designated ports (where an inspector is posted) is available. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (Form 3-200-2).

NOTICES



October 30, 2020

**Alliance
Accreditation
Commission**

**Chair
Amy Bartow-
Melia**

Executive Director,
South Carolina State
Museum,
Columbia, SC

Rebekah Beaulieu

Director,
Florence Griswold
Museum,
Old Lyme, CT

James Gilson

Former Vice President
and General Counsel,
Los Angeles County
Museum of Natural
History Foundation,
Los Angeles, CA

Jill Hartz

Curator and Museum
Consultant,
Ashland, OR

Marise McDermott

President & CEO,
Witte Museum,
San Antonio, TX

Evans Richardson

Chief of Staff,
The Studio Museum in
Harlem,
New York, NY

C.J. Roberts

President & CEO,
Tampa Bay History
Center,
Tampa, FL

Melissa Russo

Director,
San Bernardino
County Museum,
Redlands, CA

Todd Smith

Executive Director,
Bechtler Museum of
Modern Art,
Charlotte, NC

Dr. Julian Siggers
President & CEO
Field Museum of Natural History
1400 S. Lake Shore Dr.
Chicago, IL 60605-2827

Dear Dr. Siggers:

On behalf of the Accreditation Commission, it is my great pleasure to tell you that the Field Museum of Natural History was awarded reaccreditation at our October 13, 2020 meeting. This means the museum continues to meet National Standards and Best Practices for U.S. Museums and remains a member of a community of institutions that have chosen to hold themselves publicly accountable to excellence. Through a rigorous process of self-assessment and review by its peers, the museum has shown itself to be a good steward of its resources held in the public trust and committed to a philosophy of continual institutional growth.

The museum continues to be a robust, global leader in the field, particularly as is related to climate change. The museum now has the opportunity to also take a leadership role when it comes to Diversity, Equity, Accessibility and Inclusion (DEAI). Hearing how the COVID-19 pandemic focused the museum's lens on the makeup of the Board of Trustees and the staff relative to DEAI, and about how the Field intends to be more focused on the Chicagoland community, especially regarding school partnerships and outreach, is welcome news.

At the time of the next review we look forward to seeing the results of the next strategic planning process—one that involves new voices and reflects this increased stakeholder engagement—to create an inclusive, transformative, impactful, future for the Field Museum, its neighborhood and the world.

To help ensure that accredited museums maintain their level of professional performance they must undergo periodic reaccreditation reviews. The museum's next Self-Study is due **November 1, 2029**.

A museum's adherence to standards related to diversity, equity, accessibility, and inclusion (DEAI) has always played a part in the Commission's decision-making process. Now, as the museum field explores how to go beyond compliance and fully integrate principles of DEAI into its work, the Commission is paying close attention to the efforts of all accredited museums in this area. At the time of the next review the Commission will closely examine whether the museum has the organizational culture and structures in place to practice and advance DEAI as part of its ongoing operations.

Continued

Dr. Julian Siggers
October 30, 2020
Page 2

Accredited museums are looked up to as leaders in the field and we encourage you to proactively fulfill this responsibility by serving as a mentor and resource for other museums. Support and encourage museums working towards meeting standards, improving practices, or seeking accreditation by speaking at professional meetings, being an Alliance peer reviewer, and sharing your expertise in other ways.

Congratulations on this achievement. I know everyone at the museum has worked hard to earn this honor. Contact the Accreditation Program staff if you have any questions about the program or maintaining your institution's accredited status.

Sincerely yours,



Amy Bartow-Melia
Chair, Accreditation Commission
Executive Director
South Carolina State Museum

Enclosures:

Award Kit
Site Visit Report
What You Need To Know About: The Museum's Site Visit Report

cc: Wilbur Gantz, Chairman, The Field Museum Board of Trustees
Christian Carron, Visiting Committee
James Gilson, Visiting Committee

By accepting accredited status, your institution agrees to abide by the decisions of the Accreditation Commission regarding continuing review, subsequent accreditation and, if necessary, withdrawal of accreditation, and to comply with the administrative requirements of the program. You may display your certificates of accreditation and use the accreditation logo on printed materials as long as your institution meets the program requirements and standards for accreditation as determined by the Accreditation Commission.

Statement of Activities

The Angelshark (*Squatina squatina*) belongs to one of the world's most threatened families of elasmobranchs (Family Squatinidae, Dulvy et al. 2014) with targeted conservation efforts aiming to restore and safeguard its depleted populations throughout the natural range (Barker et al. 2016, Gordon et al. 2017). Important questions remain about the population structure and ecology of angel sharks worldwide that need to be answered to establish population baselines, monitor population trajectories over time, and develop effective management practices.

Among very few other places throughout its distribution range in the Northeast Atlantic and the Mediterranean Sea, the Canary Islands have been identified as a unique hotspot where the Angelshark is encountered on a regular basis throughout the year (Barker et al. 2016, Meyers et al., 2017; Gordon et al. 2017). Despite increased research efforts aiming at better understanding the ecology and biology of this species, aspects of the connectivity, dispersal patterns and reproductive behavior of this shark, classified as Critically Endangered (CR) by the International Union for Conservation of Nature (IUCN) Red List of Threatened Species (Morey et al. 2019) remain poorly understood. The cryptic nature of angel sharks and the limited knowledge about the distribution patterns have, thus far, hindered a comprehensive study of its reproductive behavior, which is essential for the implementation of appropriate conservation strategies. In the Canary Islands Angelsharks are distributed along the entire coastline, however, the inter-island distribution patterns of Angelsharks significantly decrease from the easternmost towards the westernmost islands (Meyers et al., 2017). This east to west gradient in the composition and abundance of marine species across the archipelago is also common for other coastal fishes (Tuya et al., 2004) and could be attributed to the large-scale oceanographic variability linked with the proximity of the Canary Islands to the African coast and its seasonal upwellings (Davenport, Never, Helmke, Pérez-Moreno, & Llinas, 2002). Moreover, abyssal barriers between some islands within the Archipelago, may also constrain connectivity between islands for fauna of limited pelagic dispersal (Brito et al., 2002) and could have caused separate Angelshark populations with restricted connectivity within the Canary Islands (Meyers et al. 2017).

At a finer geographical scale, the movement of Angelsharks into shallower waters in the Canary Islands has also been documented during parturition and juvenile development (Meyers et al. 2017, Escanez et al., Jimenez et al., 2020). Migrations into deeper and perhaps offshore areas are suspected in adult life stages, particular in male sharks who seem to return to shallow coastal areas during the mating season. Female Angelsharks on the other hand, have been observed in coastal areas both during the mating season and giving birth, mostly in the warmer summer months. While there have been nursery areas identified in the Canary Islands (Meyers, Escanez, Jimenez), it is also critical to understand if these sharks show philopatry to a specific nursery area, for example if female sharks will return to same areas to give birth over multiple years and if juvenile sharks will return to their birth sites for reproduction once they have reached maturity. Reproductive philopatry is known to occur in other elasmobranch species for example lemon sharks *Negaprion brevirostris* (Feldheim et al. 2004), sandbar sharks *Carcharhinus plumbeus* (Portnoy et al. 2010) and Smalltooth Sawfish *Pristis pectinata* (Smith et al., 2021). Hence,

understanding these mating and dispersal behaviors will shed light on the gene flow and provide a sound basis for effective management practices at the appropriate spatial scale.

Here we aim to (1) examine the connectivity and genetic differences between Angelshark populations in the Canary Islands; (2) to reconstruct parental genotypes from juvenile Angelsharks sampled within the largest nursery, in order to identify if females or males exhibit site fidelity to this nursery area (3) to discuss the implications of our findings for management and conservation strategies in the Canary Islands; and finally (4) examine angelshark population genetics on a broader scale to include samples from the Mediterranean Sea and the United Kingdom.

Re: [EXTERNAL] Re: CITES Permit Application # CS1848937

Ahearn, Genevieve M <genevieve_ahearn@fws.gov>

Wed 12/13/2023 9:30 AM

To: Theresa Reilly <treilly@fieldmuseum.org>

Good morning,

Thank you for providing additional information.

Upon further review of your application, we are requesting the following:

1. Please confirm the amount of time the collections have been permanently housed and professionally curated, as requested in question 7 on page 3 of the application.
2. It appears as though the Field Museum of Natural History already has an ESA Museum permit that only recently expired. Therefore, this request should have been a renewal request for that permit (File # 698170). Since this is a renewal, we will need the last 5 annual reports summarizing each year of activities conducted under the last issued permit in the format that was provided with the last issuance as well as the original permit to be mailed to our office before we can grant a renewal. Please have the original permit mailed with a cover letter explaining that this is additional information requested for application # CS1848937 and to please notify Genevieve Ahearn once the documentation has been received. Please send the permit to the following address:

ATTN DIVISION OF MANAGEMENT AUTHORITY - BRANCH OF PERMITS
U.S. FISH & WILDLIFE SERVICE HEADQUARTERS
MS: IA
5275 LEESBURG PIKE
FALLS CHURCH, VA 22041-3803

Please note: Under 50 CFR 13.22, an applicant can continue to use an expired ESA permit if the application was submitted at least 30 days prior to its expiration until a decision is made by our office.

We will provide another **30 days** for you to provide the information requested above. Please provide all information requested by **COB January 12th, 2023**, otherwise your application will be abandoned and you will need to submit a new application through ePermits.

Please respond with the information requested and let us know if you have any questions.

Sincerely,

Genevieve Ahearn
U.S. Fish and Wildlife Service
International Affairs, Division of Management Authority
Branch of Permits, MS: IA

5275 Leesburg Pike
Falls Church, VA 22041

From: Theresa Reilly <treilly@fieldmuseum.org>
Sent: Friday, November 17, 2023 10:56 AM
To: Ahearn, Genevieve M <genevieve_ahearn@fws.gov>
Subject: [EXTERNAL] Re: CITES Permit Application # CS1848937

This email has been received from outside of DOI - Use caution before clicking on links, opening attachments, or responding.

Hi Genevieve,

Just following up to see the status of this permit. The grant we will be using this for will be coming to an end soon. Can you let me know where we are in the process and if you need any additional information from me?

Thank you!

Theresa

On Tue, Oct 17, 2023 at 12:17 PM Theresa Reilly <treilly@fieldmuseum.org> wrote:

Good afternoon Genevieve,

Attached please find the updated permit with the required information that was missing. Please let me know if you need additional information to process our application.

Best,

Theresa

On Mon, Oct 2, 2023 at 9:09 PM Theresa Reilly <treilly@fieldmuseum.org> wrote:

Good evening Genevieve!

To best expedite the answers to your questions, should I just provide you with the missing information or should I send an updated application to you via this email address?

Theresa

On Fri, Sep 29, 2023 at 5:30 AM Ahearn, Genevieve M <genevieve_ahearn@fws.gov> wrote:

Good morning Ms. Reilly,

We received an application for the export and re-import of museum specimens listed under the Endangered Species Act (ESA). We apologize for the delay in processing your permit. Our office is currently experiencing a significant backlog of applications. The application has been reviewed and appears to be missing information. Please provide the following:

1. Please provide a URL containing relevant information about the requesting institution and/or collection, or indicate if one does not exist, as requested in question 5 on page 2 of the application.

2. Please provide supporting documentation for your accreditation status, as requested in question 6 on page 3 of the application.
3. Please provide all information requested in question 7 on page 3 of the application.
4. Please provide a URL for your catalogue or collection, or indicate if one does not exist, as requested in question 8 on page 3 of the application.
5. Please provide the statement requested in question 22 on page 4 of the application.

*In accordance with 50 CFR 13.11(e), if the requested information is not received by this office **within 45 calendar days** of the date of this email, your application will be abandoned and administratively closed. Once a file is closed, you will need to submit a new application, and all required fees, for the Service to consider your proposed activity.*

Thank you for your patience and we apologize for the inconvenience. Please respond with the information requested and let us know if you have any questions.

Sincerely,

Genevieve Ahearn
U.S. Fish and Wildlife Service
International Affairs, Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041

--

Theresa Reilly
Administrative Coordinator
Gantz Family Collections Center
[Collections Center Information Website](#)
she/her/hers

Treasurer
Society for the Preservation of
Natural History Collections (SPNHC)

O:312.665.7827

Field Museum
1400 S. DuSable Lake Shore Dr.
Chicago, IL 60605
fieldmuseum.org



As someone who resides and works in Chicago, I acknowledge that I am a guest on the traditional homelands of Indigenous nations. My respect and gratitude to the many Native people who live here today, as well as their ancestors.

--

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Re: [EXTERNAL] Re: CITES Permit Application # CS1848937

Theresa Reilly <treilly@fieldmuseum.org>

Fri 12/15/2023 12:40 PM

To: Ahearn, Genevieve M <genevieve_ahearn@fws.gov>

Hi Genevieve,

1. Please confirm the amount of time the collections have been permanently housed and professionally curated, as requested in question 7 on page 3 of the application - **The Field Museum collections have been housed and curated at this location since 1915 - for a total of 108 years.**

Theresa

On Wed, Dec 13, 2023 at 5:05 PM Theresa Reilly <treilly@fieldmuseum.org> wrote:

Thank you Genevieve! I will get the materials to you. This was sent in prior to the expiration of the current permit as was told that a specific permit was needed for the shark DNA we wanted to use as part of our grant.

Since our other permit is expired, would we be able to use that for the import now while I prepare the documentation for the renewal?

Theresa

On Wed, Dec 13, 2023 at 4:59 PM Ahearn, Genevieve M <genevieve_ahearn@fws.gov> wrote:

Good afternoon,

Please note that the deadline in my previous email sent today should say **COB January 12th, 2024**, not 2023.

Please respond with the information requested in my previous email (below) and let us know if you have any questions.

Thank you,

Genevieve Ahearn
U.S. Fish and Wildlife Service
International Affairs, Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041

From: Ahearn, Genevieve M <genevieve_ahearn@fws.gov>**Sent:** Wednesday, December 13, 2023 9:30 AM**To:** Theresa Reilly <treilly@fieldmuseum.org>**Subject:** Re: [EXTERNAL] Re: CITES Permit Application # CS1848937

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5275 Leesburg Pike
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fieldmuseum.org

As someone who resides and works in Chicago, I acknowledge that I am a guest on the traditional homelands of Indigenous nations. My respect and gratitude to the many Native people who live here today, as well as their ancestors.

--

Theresa Reilly
Administrative Coordinator
Gantz Family Collections Center
[Collections Center Information Website](#)
she/her/hers

Treasurer
Society for the Preservation of
Natural History Collections (SPNHC)

O:312.665.7827

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Fueling a journey
of discovery

Director
US Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS:IA
5275 Leesburg Pike
Falls Church, VA 22041-3803

RE: ESA Museum Annual Report for PRT#MA698170-0

January 23, 2024

Dear Director,

U.S Fish and Wildlife Permit 698170-0 authorized the export and re-import of endangered and threatened specimens already accessioned in the Field Museum's collection for scientific research. In 2023, museum-wide, there was no activity conducted under permit MA698170-0.

Please contact me (phone:312.665.7827, email: treilly@fieldmuseum.org) if there are any questions concerning this report. Thank you.

Sincerely

Theresa Reilly
Administrative Coordinator
Gantz Family Collections Center

Field Museum
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fieldmuseum.org



Director
US Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS:IA
5275 Leesburg Pike
Falls Church, VA 22041-3803

RE: ESA Museum Annual Report for PRT#MA698170-0

Dear Director,

U.S Fish and Wildlife Permit 698170-0 authorized the export and re-import of endangered and threatened specimens already accessioned in the Field Museum's collection for scientific research. In 2022, museum-wide, there was no activity conducted under permit MA698170-0.

Please contact me (phone:312.665.7827, email: treilly@fieldmuseum.org) if there are any questions concerning this report. Thank you.

Sincerely

Theresa Reilly
Administrative Coordinator
Gantz Family Collections Center



Director
US Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS:IA
5275 Leesburg Pike
Falls Church, VA 22041-3803

RE: ESA Museum Annual Report for PRT#MA698170-0

Dear Director,

U.S Fish and Wildlife Permit 698170-0 authorized the export and re-import of endangered and threatened specimens already accessioned in the Field Museum's collection for scientific research. In 2021, museum-wide, there was no activity conducted under permit MA698170-0.

Please contact me (phone:312.665.7827, email: treilly@fieldmuseum.org) if there are any questions concerning this report. Thank you.

Sincerely

Theresa Reilly
Administrative Coordinator
Gantz Family Collections Center



Director
US Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS:IA
5275 Leesburg Pike
Falls Church, VA 22041-3803

RE: ESA Museum Annual Report for PRT#MA698170-0

Dear Director,

U.S Fish and Wildlife Permit MA698170-0 authorized the export and re-import of endangered and threatened specimens already accessioned in the Field Museum's collection for scientific research. In 2020, museum-wide, there was no activity conducted under permit MA698170-0.

Please contact me (phone:312.665.7827, email: treilly@fieldmuseum.org) if there are any questions concerning this report. Thank you.

Sincerely

Theresa Reilly
Administrative Coordinator
Gantz Family Collections Center



Director
US Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS:IA
5275 Leesburg Pike
Falls Church, VA 22041-3803

RE: ESA Museum Annual Report for PRT#MA698170-0

Dear Director,

U.S Fish and Wildlife Permit 698170-0 authorized the export and re-import of endangered and threatened specimens already accessioned in the Field Museum's collection for scientific research. In 2019, museum-wide, there was no activity conducted under permit MA698170-0.

Please contact me (phone:312.665.7827, email: treilly@fieldmuseum.org) if there are any questions concerning this report. Thank you.

Sincerely

Theresa Reilly
Administrative Coordinator
Gantz Family Collections Center



Fueling a Journey
of discovery

JAN 29 PM 4:38

January 17, 2024

U.S. Fish & Wildlife Service Headquarters
MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
Attn: Division Of Management Authority - Branch Of Permits

RE: additional information requested for application # CS1848937

To Whom It May Concern,

Included in this mailing please find the original permit for the Field Museum of NATural History located at 1400 S. DuSable Lake Shore Drive in Chicago. The included original permit was requested for our renewal. Please notify Genevieve Ahearn once this documentation has been received. This document is required for the renewal of application # CS1848937.

Please contact me (phone: 312.665.7827 or by email treilly@fieldmuseum.org) if there are any questions about this documentation.

Sincerely,

A handwritten signature in blue ink that reads "Theresa Reilly". The signature is fluid and cursive.

Theresa Reilly
Administrative Coordinator
Gantz Family Collections Center

Field Museum
1400 S. DuSable Lake Shore Dr.
Chicago, IL 60605
fieldmuseum.org



Permit Number: MA698170-0

Effective: 11/19/2018 Expires: 11/18/2023

Issuing Office:

Department of the Interior
U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
BRANCH OF PERMITS, MS: IA
5275 LEESBURG PIKE
FALLS CHURCH VA 22041-3803

CHIEF, BRANCH OF PERMITS, DMA

Permittee:

FIELD MUSEUM OF NATURAL HISTORY
1400 SOUTH LAKE SHORE DRIVE
CHICAGO, IL 60605-2496
U.S.A.

Name and Title of Principal Officer:

THORSTEN LUMBSCH - VICE PRESIDENT SCIENCE AND EDUCATION

Authority: Statutes and Regulations: 16 USC 1539 (a), 16 USC 1533 (d); 50 CFR 17.22, 50 CFR 17.32, 50 CFR 17.62, 50 CFR 17.72.

Location where authorized activity may be conducted:

EXPORT AND RE-IMPORT THROUGH ANY WILDLIFE PORT LISTED IN 50 CFR 14.12
EXPORT AND RE-IMPORT THROUGH ANY PLANT PORT LISTED IN 50 CFR 24.12

Reporting requirements:

ANNUAL REPORT DUE: 01/31

Authorizations and Conditions:

- A. Authorized to export and re-import shipments of non-living museum/herbarium specimens of endangered and threatened species (excluding marine mammals, golden eagles, and bald eagles) previously legally accessioned into the permittee's collection, for the purpose of scientific research.
- B. **Permit may be copied for multiple shipments; permittee to retain original.**
- C. Permit is not valid until information required on the attached export/re-import report is completed by the permittee, and the report has been validated by U.S. Fish and Wildlife Service personnel for wildlife, or a port inspector of the USDA Animal and Plant Health Inspection Service, Division of Plant Protection and Quarantine (APHIS-PPQ) for plants.
- D. General conditions set out in Subpart D of 50 CFR 13, and specific conditions contained in Federal regulations cited above, are hereby made a part of this permit. All activities authorized herein must be carried out in accord with and for the purposes described in the application submitted. Continued validity, or renewal of this permit is subject to complete and timely compliance with all applicable conditions, including the filing of all required information and reports.
- E. The validity of this permit is also conditioned upon strict observance of all applicable foreign, state, local, tribal, or other federal law. **This permit can be photocopied.**
- F. Valid for use by permittee named above.
- G. Acceptance of this permit serves as evidence that the permittee understands and agrees to abide by the "General Permit Conditions" and "Special Conditions" for U.S. Endangered Species Act Museum Permits (copies attached).

12000

Field Museum
1400 S. Lake Shore Dr.
Chicago, IL 60605

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US POSTAGE

ATTN DIVISION OF MANAGEMENT AUTHORITY -
BRANCH OF PERMITS
U.S. FISH & WILDLIFE SERVICE HEADQUARTERS
MS: IA
5275 LFESBURG PIKE
FALLS CHURCH, VA 22041-3803

22041-3803



Re: [EXTERNAL] Re: CITES Permit Application # CS1848937

Ahearn, Genevieve M <genevieve_ahearn@fws.gov>

Mon 6/24/2024 6:00 AM

To: Theresa Reilly <treilly@fieldmuseum.org>

Good morning,

Thank you for providing additional information. For the question regarding importing the shark DNA specimens, the correct permit application would depend on the species and the activity. Please submit an [ePermits support ticket](#) and a staff member should be able to answer your question regarding the appropriate permit application for that activity generally within 48 hours.

Upon further review of your application, we are requesting the following:

1. Please provide reports for any activities conducted under this permit in 2018 and 2024.

We will provide another **three weeks** for you to provide the information requested above. Please provide all information requested by **COB July 16, 2024**, otherwise your application will be abandoned and you will need to submit a new application through ePermits.

Please respond with the information requested and let us know if you have any questions.

Sincerely,

Genevieve Ahearn
U.S. Fish and Wildlife Service
International Affairs, Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041

From: Theresa Reilly <treilly@fieldmuseum.org>
Sent: Wednesday, January 24, 2024 10:20 PM
To: Ahearn, Genevieve M <genevieve_ahearn@fws.gov>
Subject: Re: [EXTERNAL] Re: CITES Permit Application # CS1848937

Hi Genevieve!

Attached please find the 5 years reporting for the ielf Museum for application # CS1848937. The original permit was mailed to you last week, so I hope that has arrived by now.

If you have any questions, or need any additional information for this renewal, please let me know.

I do have an additional question for you.....this permit is only the export and reimport of specimens, is that correct? If I wanted to import new specimens, what permit would we use for that? The staff member who is importing the shark DNA specimens was told by the border patrol that this permit is not the correct one.

Thank you in advance for your help!

Theresa

On Mon, Jan 22, 2024 at 10:49 AM Ahearn, Genevieve M <genevieve_ahearn@fws.gov> wrote:

Good morning,

This is a reminder that the deadline to provide all information requested is approaching. If we do not receive all information requested in my previous email (sent December 13, 2023) by **COB Friday, January 26th, 2024**, then application # CS1848937 will be abandoned and you will need to submit a new application with all appropriate fees.

Please respond with all information requested and let us know if you have any questions.

Thank you,

Genevieve Ahearn
U.S. Fish and Wildlife Service
International Affairs, Division of Management Authority
Branch of Permits
5275 Leesburg Pike, MS: IA
Falls Church, VA 22041

From: Ahearn, Genevieve M <genevieve_ahearn@fws.gov>
Sent: Tuesday, January 9, 2024 5:12 PM
To: Theresa Reilly <treilly@fieldmuseum.org>
Subject: Re: [EXTERNAL] Re: CITES Permit Application # CS1848937

Good afternoon Theresa,

Thank you for your response.

Yes, we can grant an extension on your deadline for providing the requested information. We can extend your deadline for this request by an extra two weeks from the previous deadline given, so that the new deadline would be **COB Friday, January 26th, 2024**.

Please let me know if you have any questions and please be sure to respond with all the information that has been requested.

Thank you,

Genevieve Ahearn
U.S. Fish and Wildlife Service
International Affairs, Division of Management Authority
Branch of Permits

5275 Leesburg Pike, MS: IA
Falls Church, VA 22041

From: Theresa Reilly <treilly@fieldmuseum.org>
Sent: Tuesday, January 9, 2024 12:19 PM
To: Ahearn, Genevieve M <genevieve_ahearn@fws.gov>
Subject: Re: [EXTERNAL] Re: CITES Permit Application # CS1848937

Hi Genevieve!

Happy New Year! Thank you for the reminder. I am just back from the holiday break and I am preparing all of the annual report documents for you. Can we have an extension to submit the information though? I do not think the mail would reach you with the original permit by Friday.

Theresa

On Mon, Jan 8, 2024 at 5:34 PM Ahearn, Genevieve M <genevieve_ahearn@fws.gov> wrote:

Good afternoon,

This is a reminder that the deadline to provide all information requested is approaching. If we do not receive all information requested in my previous email **by COB January 12th, 2024**, your application will be abandoned. If you will need an extension, you will need to request one prior to the deadline.

Let me know if you have any questions.

Thank you,

Genevieve Ahearn
U.S. Fish and Wildlife Service
International Affairs, Division of Management Authority
Branch of Permits
5275 Leesburg Pike, MS: IA
Falls Church, VA 22041

From: Ahearn, Genevieve M <genevieve_ahearn@fws.gov>
Sent: Tuesday, December 19, 2023 4:59 PM
To: Theresa Reilly <treilly@fieldmuseum.org>
Subject: Re: [EXTERNAL] Re: CITES Permit Application # CS1848937

Good afternoon,

Yes, as long as the activity is covered under your previous permit, you may continue to conduct activities until a decision has been made regarding your renewal by our office. If you will require the original permit until the import of shark DNA has taken place, and you will not be able to return the original permit until after the deadline of January 12, 2024, please let us know and we may be able to grant an extension of up to an additional 30 days to receive the requested information.

Please be sure to respond with all information requested and let us know if you have any additional questions.

Thank you,

Genevieve Ahearn
U.S. Fish and Wildlife Service
International Affairs, Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041

From: Theresa Reilly <treilly@fieldmuseum.org>
Sent: Wednesday, December 13, 2023 6:05 PM
To: Ahearn, Genevieve M <genevieve_ahearn@fws.gov>
Subject: Re: [EXTERNAL] Re: CITES Permit Application # CS1848937

Thank you Genevieve! I will get the materials to you. This was sent in prior to the expiration of the current permit as was told that a specific permit was needed for the shark DNA we wanted to use as part of our grant.

Since our other permit is expired, would we be able to use that for the import now while I prepare the documentation for the renewal?

Theresa

On Wed, Dec 13, 2023 at 4:59 PM Ahearn, Genevieve M <genevieve_ahearn@fws.gov> wrote:

Good afternoon,

Please note that the deadline in my previous email sent today should say **COB January 12th, 2024**, not 2023.

Please respond with the information requested in my previous email (below) and let us know if you have any questions.

Thank you,

Genevieve Ahearn
U.S. Fish and Wildlife Service
International Affairs, Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041

From: Ahearn, Genevieve M <genevieve_ahearn@fws.gov>
Sent: Wednesday, December 13, 2023 9:30 AM
To: Theresa Reilly <treilly@fieldmuseum.org>
Subject: Re: [EXTERNAL] Re: CITES Permit Application # CS1848937

Good morning,

Thank you for providing additional information.

Upon further review of your application, we are requesting the following:

1. Please confirm the amount of time the collections have been permanently housed and professionally curated, as requested in question 7 on page 3 of the application.
2. It appears as though the Field Museum of Natural History already has an ESA Museum permit that only recently expired. Therefore, this request should have been a renewal request for that permit (File # 698170). Since this is a renewal, we will need the last 5 annual reports summarizing each year of activities conducted under the last issued permit in the format that was provided with the last issuance as well as the original permit to be mailed to our office before we can grant a renewal. Please have the original permit mailed with a cover letter explaining that this is additional information requested for application # CS1848937 and to please notify Genevieve Ahearn once the documentation has been received. Please send the permit to the following address:

ATTN DIVISION OF MANAGEMENT AUTHORITY - BRANCH OF PERMITS
U.S. FISH & WILDLIFE SERVICE HEADQUARTERS
MS: IA
5275 LEESBURG PIKE
FALLS CHURCH, VA 22041-3803

Please note: Under 50 CFR 13.22, an applicant can continue to use an expired ESA permit if the application was submitted at least 30 days prior to its expiration until a decision is made by our office.

We will provide another **30 days** for you to provide the information requested above. Please provide all information requested by **COB January 12th, 2023**, otherwise your application will be abandoned and you will need to submit a new application through ePermits.

Please respond with the information requested and let us know if you have any questions.

Sincerely,

Genevieve Ahearn
U.S. Fish and Wildlife Service
International Affairs, Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041

From: Theresa Reilly <treilly@fieldmuseum.org>

Sent: Friday, November 17, 2023 10:56 AM

To: Ahearn, Genevieve M <genevieve_ahearn@fws.gov>

Subject: [EXTERNAL] Re: CITES Permit Application # CS1848937

This email has been received from outside of DOI - Use caution before clicking on links, opening attachments, or responding.

Hi Genevieve,

Just following up to see the status of this permit. The grant we will be using this for will be coming to an end soon. Can you let me know where we are in the process and if you need any additional information from me?

Thank you!

Theresa

On Tue, Oct 17, 2023 at 12:17 PM Theresa Reilly <treilly@fieldmuseum.org> wrote:

Good afternoon Genevieve,

Attached please find the updated permit with the required information that was missing. Please let me know if you need additional information to process our application.

Best,

Theresa

On Mon, Oct 2, 2023 at 9:09 PM Theresa Reilly <treilly@fieldmuseum.org> wrote:

Good evening Genevieve!

To best expedite the answers to your questions, should I just provide you with the missing information or should I send an updated application to you via this email address?

Theresa

On Fri, Sep 29, 2023 at 5:30 AM Ahearn, Genevieve M <genevieve_ahearn@fws.gov> wrote:

Good morning Ms. Reilly,

We received an application for the export and re-import of museum specimens listed under the Endangered Species Act (ESA). We apologize for the delay in processing your permit. Our office is currently experiencing a significant backlog of applications. The application has been reviewed and appears to be missing information. Please provide the following:

1. Please provide a URL containing relevant information about the requesting institution and/or collection, or indicate if one does not exist, as requested in question 5 on page 2 of the application.
2. Please provide supporting documentation for your accreditation status, as requested in question 6 on page 3 of the application.
3. Please provide all information requested in question 7 on page 3 of the application.
4. Please provide a URL for your catalogue or collection, or indicate if one does not exist, as requested in question 8 on page 3 of the application.
5. Please provide the statement requested in question 22 on page 4 of the application.

*In accordance with 50 CFR 13.11(e), if the requested information is not received by this office **within 45 calendar days** of the date of this email, your application will be abandoned and administratively closed. Once a file is closed, you will need to submit a new application, and all required fees, for the Service to consider your proposed activity.*

Thank you for your patience and we apologize for the inconvenience. Please respond with the information requested and let us know if you have any questions.

Sincerely,

Genevieve Ahearn
U.S. Fish and Wildlife Service
International Affairs, Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041

--

Theresa Reilly

Administrative Coordinator
Gantz Family Collections Center
[Collections Center Information Website](#)
she/her/hers

Treasurer
Society for the Preservation of
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O:312.665.7827

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1400 S. DuSable Lake Shore Dr.
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fieldmuseum.org



As someone who resides and works in Chicago, I acknowledge that I am a guest on the traditional homelands of Indigenous nations. My respect and gratitude to the many Native people who live here today, as well as their ancestors.

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Director
US Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS:IA
5275 Leesburg Pike
Falls Church, VA 22041-3803

RE: ESA Museum Annual Report for PRT#MA698170-0

Dear Director,

U.S Fish and Wildlife Permit MA98170-0 authorized the export and re-import of endangered and threatened specimens already accessions in the Field Museum's collection for scientific research. In 2018, museum-wide, there was no activity conducted under permit MA698170-0.

Please contact me (phone:312.665.7827, email: treilly@fieldmuseum.org) if there are any questions concerning this report. Thank you.

Sincerely

A handwritten signature in cursive script that reads "Theresa Reilly".

Theresa Reilly
Administrative Coordinator
Gantz Family Collections Center



Ms. Genevieve Ahearn
U.S. Fish and Wildlife Service
International Affairs, Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041

RE: ESA Museum Annual Report for PRT#MA698170-0

Dear Ms. Ahern,

U.S Fish and Wildlife Permit MA98170-0 authorized the export and re-import of endangered and threatened specimens already accessioned in the Field Museum's collection for scientific research. In 2024, museum-wide, there was no activity conducted under permit MA698170-0.

Please contact me (phone:312.665.7827, email: treilly@fieldmuseum.org) if there are any questions concerning this report. Thank you.

Sincerely

A handwritten signature in black ink, appearing to read "Theresa Reilly", written in a cursive style.

Theresa Reilly
Administrative Coordinator
Gantz Family Collections Center