


NRTL Initial Application, Expansion and/or Scope Reduction Request (Form I)

NRTL Initial Application, Expansion, and / or Scope Reduction Request		 OMB No. 1218-0147 / Expires: 04/30/2020	
Form I Part I: General Information			
1. Legal Name of Applicant: UL LLC			
2. Applicant Headquarters Physical Address:			
a. Street Address: 333 Pfingsten Road			
b. City: Northbrook	c. State/Province/Country <i>(as applicable):</i> IL	d. Postal Code: 60062	
3. Applicant Headquarters Mailing Address <i>(if different from physical address)</i>			
a. Street Address:			
b. City:	c. State/Province/Country <i>(as applicable):</i>	d. Postal Code:	
4. Applicant Website Information			
a. Website Address: https://www.ul.com			
b. Web Address of online certification directory: https://productiq.ulprospectir.com			
c. Web Address of online certification mark page: https://www.ul.com/resources/ul-schemes-and-certification-bodies			
Scroll down and expand the section on UL LLC – see US Safety Scheme			
5. Primary Point of Contact Information			
a. Name:	Rick Titus	b. Title/Position:	Accreditation Principal
c. Telephone Number (with country code):	847 664 3281	d. Fax Number:	847 313 3281
e. Email Address:	Rick.A.Titus@ul.com		
6. Alternate Point of Contact Information			
a. Name:	Jeff Zielke	b. Title/Position:	Lead Accreditation Engineer

c. Telephone Number (with country code):	847 664 2524	d. Fax Number:	NA
e. Email Address:	Jeffrey.P.Zielke@ul.com		

Form I Part II: Reason for Request

7. Type of Request (Check all that apply)

<input type="checkbox"/> a. Initial Recognition	<input type="checkbox"/> b. Expansion – New Site(s)	<input type="checkbox"/> c. Expansion – Additional Test Standards	<input type="checkbox"/> d. Scope Reduction	<input type="checkbox"/> e. Other
---	---	---	---	-----------------------------------

8. Brief Explanation of Request – Including a List of Standards Requested:

Request to add UL LLC KOREA- Uiwang Site to NRTL Scope.

Form I Part III: Site Information

Enter information as indicated for each site to be included in this request.

For Expansions and Reductions – **OMIT if no changes are involved**

9. Applicant Site Information

a. Site Name and Contact Information	b. Site Information	c. Physical Address and Phone Numbers
Site Name: KOREA- Uiwang	Site Type <input type="checkbox"/> HQ Site <input type="checkbox"/> Recognized Site <input type="checkbox"/> <input type="checkbox"/>	Address: Uiwang (LAB), Obongsandan 1-Ro, 42, Uiwang-Si, Gyeonggi-Do Uiwang 16079
Site POC: Yucheol Jeong	<input checked="" type="checkbox"/> Key Location <input type="checkbox"/> HazLoc Testing <input type="checkbox"/> LP Gas Testing <input type="checkbox"/> Fire Suppression <input type="checkbox"/> Medical Equipment	Site Phone Number(s): +82313379928
POC Email: Yucheol.Jeong@ul.com	<input checked="" type="checkbox"/> General Electrical Testing	POC Phone Number(s): +82313379928
Site Name:	Site Type <input type="checkbox"/> HQ Site <input type="checkbox"/> Recognized Site	Address:
Site POC:	Key Location <input type="checkbox"/> HazLoc Testing <input type="checkbox"/> LP Gas Testing <input type="checkbox"/> Fire Suppression <input type="checkbox"/> Medical Equipment	Site Phone Number(s):
POC Email:		POC Phone Number(s):
Site Name:	Site Type <input type="checkbox"/> HQ Site <input type="checkbox"/> Recognized Site	Address:

Site POC:	Key Location HazLoc Testing LP Gas Testing	Site Phone Number(s):
-----------	---	-----------------------

POC Email:	<input type="checkbox"/> Fire Suppression <input type="checkbox"/> Medical Equipment	POC Phone Number(s):
Site Name:	Site Type <input type="checkbox"/> HQ Site <input type="checkbox"/> Recognized Site	Address:
Site POC:	Key Location <input type="checkbox"/> HazLoc Testing <input type="checkbox"/> LP Gas Testing <input type="checkbox"/> Fire Suppression <input type="checkbox"/> Medical Equipment	Site Phone Number(s):
POC Email:		POC Phone Number(s):
Site Name:	Site Type <input type="checkbox"/> HQ Site <input type="checkbox"/> Recognized Site	Address:
Site POC:	Key Location <input type="checkbox"/> HazLoc Testing <input type="checkbox"/> LP Gas Testing <input type="checkbox"/> Fire Suppression <input type="checkbox"/> Medical Equipment	Site Phone Number(s):
POC Email:		POC Phone Number(s):
Site Name:	Site Type <input type="checkbox"/> HQ Site <input type="checkbox"/> Recognized Site	Address:
Site POC:	Key Location <input type="checkbox"/> HazLoc Testing <input type="checkbox"/> LP Gas Testing <input type="checkbox"/> Fire Suppression <input type="checkbox"/> Medical Equipment	Site Phone Number(s):
POC Email:		POC Phone Number(s):

Form I Part IV: Verification of NRTL Requirements

The legal signatory's initials in this part constitute the applicant's certification of compliance / intent to comply with the NRTL Program requirements contained in 1910.7 and the terms of the NRTL's recognition as identified in Chapter II, Section F2 (required information) of the NRTL Program Policies, Procedures and Guidelines update.

Form I Part V: Certification of Information

11. As legal signatory for UL LLC _____, the applicant, I attest that all statements and information contained in this form are correct to the best of my knowledge and are made in good faith. I also attest that my initials in Part III, "Verification of NRTL Requirements," constitute the applicant's certification of intent to comply / continuing compliance with the NRTL Program requirements contained in 1910.7. In addition, I attest that the applicant will: a) comply with all the policies, conditions, and requirements for recognition that OSHA imposes through its regulations, Directives, and Federal Register notices; b) operate as a NRTL only within the NRTL's approved scope of recognition (applicable test standards and sites), following the policies, procedures, structures, and practices described in the NRTL's original or amended application accepted by OSHA, or in appropriate and approved revisions made after recognition; and c) promptly submit details to OSHA of any major changes in the NRTL's operations.

12. Legal Signatory (*type or print name*): Gitte Schjotz

13. Signature: 	14. Date: NOV. 29, 2022
---	----------------------------

Paperwork Reduction Act Statement

OMB Control Number: 1218-0147

According to the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless such collection displays a valid OMB control number. Public reporting burden for this collection of information is estimated to average 160 hours for an initial application and no more than 24 hours for an expansion. This burden includes locating and assembling information required to complete the application. It may also include an on-site inspection / audit. The obligation to respond to this collection is voluntary. Information obtained from this form will be used to determine if the application and supporting information meets the requirements of the NRTL Program as outlined in 29 CFR 1910.7. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Labor, OSHA, Office of Technical Programs and Coordination Activities, Directorate of Technical Support and Emergency Management, 200 Constitution Avenue, NW, Room N3655, Washington, DC 20210.

Form I General Completion Directions

OSHA safety standards require that specified equipment and materials (products) be tested and certified for safety by an OSHA-recognized organization. OSHA's Nationally Recognized Testing Laboratory (NRTL) Program fulfills this responsibility by recognizing the capabilities of mainly private sector testing organizations to test and certify such products for manufacturers.

To be recognized, an organization must meet OSHA's requirements. Initial recognition is granted if the application and an on-site audit of the organization demonstrate the applicant has the capability to test and certify products for safety. An organization must have the necessary capability both as a testing laboratory and as a product certification body to receive OSHA recognition as a NRTL. Once recognized, OSHA reviews each NRTL's activities to assure it continues to comply. The NRTL can also request an expansion of its recognition. This form, and its attachments, asks the NRTL to verify its general information as well as provide specific information related to its facilities and capabilities to meet NRTL Program requirements. Completing this form is entirely voluntary. Each applicant is free to submit an application for initial recognition or expansion using any form it chooses. However, OSHA will consider applications that do not contain the information requested in this form to be deficient and may delay or deny the request.

An applicant that chooses to use this form for initial recognition or expansion must send: (1) this completed form; (2) any additional information it wishes to submit to demonstrate capabilities to meet NRTL Program requirements; and (3) payment to:

Director
Office of Technical Programs and Coordination Activities
Directorate of Technical Support and Emergency Management
Occupational Safety and Health Administration
US Department of Labor
200 Constitution Avenue NW, Room N3655
Washington, DC 20210

Completed and signed forms and any accompanying documentation may also be scanned and emailed to nrtlprogram@dol.gov. For questions, contact the Office of Technical Programs and Coordination Activities at +1.202.693.2110

Form I Part I Directions

Part I of this form provides basic information related to the applicant. The information will be used to update applicant records and information posted on OSHA's NRTL webpage. Complete each block as indicated below.

- Block 1:** Enter the legal business name of the applicant as currently recognized or requested. **Note:** If the applicant wishes to use a name that is different from the name listed on OSHA's NRTL page (see <http://www.osha.gov/dts/otpca/nrtl/nrtllist.html>), the applicant must submit a request for name change to OSHA's NRTL Program office using the contact information listed above.
- Block 2:** Enter the physical street address, city, state, and postal code and country for the headquarters office of the applicant. For international addresses, enter the province followed by the country name in **Block 1c**.
- Block 3:** Enter the mailing address, city, state, and postal code and country for the headquarters office of the applicant. For international addresses, enter the province followed by the country name in **Block 2c**.
- Block 4:** **Block 4a.** Enter the URL for the applicant's home webpage.
- Block 4b.** Enter the URL for the applicant's online product certification listing. Note: if the applicant does not have a resource online, include a URL to a webpage that provides directions as to how a product certification may be obtained.
- Block 4c.** Enter the URL to the webpage or online resource that explains the usage of the applicant's certification mark as it applies to OSHA's NRTL Program.
- Block 5:** Enter contact information of the applicant's primary point of contact. Include their name, title, telephone number with country code, fax number, and email address.
- Block 6:** Enter contact information of the applicant's alternate point of contact. Include their name, title, telephone number with country code, fax number, and email address.

Form I Part II Directions

Please identify the reason for the application. For initial requests, ensure to include the Company Profile Worksheet. For initial requests and expansions of test standards, refer to the Test Standard Worksheet.

- Block 7:** In **Block 7a** through **Block 7e**, place a check next to each action that applies to this application. For **Block 7e**, ensure a description of the action is included in **Block 8**.
- Block 8:** Provide a brief description of the action being requested.

Form I Part III Directions

Please enter the site name and address for each site to be included in this application. Use additional pages as required.

Block 9: **Block 9a.** Enter the full name of the laboratory to be included with this application. Enter the point of contact (POC) for this site and the POC's email address.

Block 9b. Place a check next to the box that is most appropriate site type for the site being added in this application. Place a check next to each box under key locations if the activity is performed at the site.

Block 9c. Enter the complete physical address, site phone number and the POC phone number for the site being added. Be sure to include the name of the country and the telephone country code for international sites.

Form I Part V Directions

Part V is the applicant's certification that it will comply / continue to comply with the requirements of the NRTL Program as outlined in 29 CFR 1910.7, its letter of recognition, and supporting NRTL Program Directive and guidelines. The blocks in Part V must be completed by the legal signatory for the applicant.

Block 11: Enter the legal business name of the applicant. See note in **Block 1** for additional information related to a name change for a NRTL.

Block 12: Print or type the name of the individual having legal signatory authority for the applicant.

Block 13: Enter the signature of the individual having legal signatory authority for the applicant

Block 14: Enter the date the individual with legal signatory authority signed this document.

NRTL Initial Application and Expansion Test Standard Worksheet (Form II)

<p>NRTL Initial Application and Expansion Test Standard Worksheet</p>	 OMB No. 1218-0147 / Expires: 04/30/2020
--	--

Form II Part I: General Information

1. Test Standard Designation:	2. Test Standard Title:		
3. Year of Issue or Revision:	4. Approved By (<i>ANSI, ISA, ASTM, etc.</i>):		
5. Name of Laboratory Test Site:			
6. Laboratory Test Site Physical Address			
a. Street Address:			
b. City:		c. State/Province/Country:	d. Postal Code:

Form II Part II: Test Equipment

7. For each item below, identify test instruments to be used when capturing test data

a. Test Standard Clause(s)	b. Type Test or Measurement	c. Manufacturer Name and Model Number

Form II Part III: Test Procedure

8. For each item below, identify test standards for which laboratory test procedures have been or will be

developed when capturing test data

a. Test Standard Clause(s)	b. Laboratory Test Procedures	c. Procedures in Place?
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No

Form II Part IV: Test Data Recording Approach (Data Sheets)

9. Describe approach for recording test data:

10. For each item below, identify the test clauses covered and the name (or filename) of the corresponding datasheet

a. Test Standard Clause(s)	b. Name of Test Datasheet and Datasheet Item <i>(datasheet title or filename as appropriate)</i>

Form II Part V: Special Apparatus or Facilities

12. For each item below, identify new facilities (environmental conditions, power supplies, test fixtures, etc.) that have been or will be developed to perform testing when capturing test data

a. Test Standard Clause(s)	b. Description of unique or specified facilities	c. Facilities in Place?
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No

Form II Part VI: Program Integration

13. How will this test standard be integrated into the laboratories training and qualification system?

14. How will this test standard be integrated into the applicant's factory surveillance program?

15. Approximate number of products currently evaluated under this standard.

16. Actual Number of products currently certified under this standard.

Form II Part VII: Comparability Analysis Matrix *(optional)*

13. For each item below, identify special requirements / considerations when capturing test data

a. Test Standard Clause	b. Comparable Standard	c. Comparable Standard Clause	d. Significant Difference	e. Information NRTLs need to submit to cover significant differences

--	--	--	--	--

Paperwork Reduction Act Statement

OMB Control Number: 1218-0147

According to the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless such collection displays a valid OMB control number. Public reporting burden for this collection of information is estimated to average 2 hours for each test standard. This burden includes locating and assembling information required to complete the application and is included in the burden calculated for initial applications and expansions. The obligation to respond to this collection is voluntary. Information obtained from this form will be used to determine if the application and supporting information meets the requirements of the NRTL Program as outlined in 29 CFR 1910.7. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Labor, OSHA, Office of Technical Programs and Coordination Activities, Directorate of Technical Support and Emergency Management, 200 Constitution Avenue, NW, Room N3655, Washington, DC 20210.

Form II General Completion Directions

OSHA safety standards require that specified equipment and materials (products) be tested and certified for safety by an OSHA-recognized organization. OSHA's Nationally Recognized Testing Laboratory (NRTL) Program fulfills this responsibility by recognizing the capabilities of mainly private sector testing organizations to test and certify such products for manufacturers.

To be recognized, an organization must meet OSHA's requirements. Initial recognition is granted if the application and an on-site audit of the organization demonstrate the applicant is completely has the capability to test and certify products for safety. An organization must have the necessary capability both as a testing laboratory and as a product certification body to receive OSHA recognition as a NRTL. Once recognized, OSHA reviews each NRTL's activities to assure it continues to comply. The NRTL can also request an expansion of its recognition. This form, and its attachments, asks the NRTL to verify its general information as well as provide specific information related to its facilities and capabilities to meet NRTL Program requirements. Completing this form is entirely voluntary. Each applicant is free to submit an application for initial recognition or expansion using any form it chooses. However, OSHA will consider applications that do not contain the information requested in this form to be deficient and may delay or deny the request.

An applicant that chooses to use this form for initial recognition or expansion must send: (1) this completed form; (2) any additional information it wishes to submit to demonstrate capabilities to meet NRTL Program requirements; and (3) payment to:

Director
Office of Technical Programs and Coordination Activities
Directorate of Technical Support and Emergency Management
Occupational Safety and Health Administration
US Department of Labor
200 Constitution Avenue NW, Room N3655
Washington, DC 20210

Completed and signed forms and any accompanying documentation may also be scanned and emailed to ntlprogram@dol.gov. For questions, contact the Office of Technical Programs and Coordination Activities at +1.202.693.2110

Form II Part I Directions

Part I of this form provides basic information related to the test standard. The information will be used to determine if the standard is appropriate. Complete each block as indicated below.

Block 1: Enter the designation for the requested test standard (e.g., UL 2305).

Block 2: Enter the full title for the requested test standard (e.g., Exhibition Display Units, Fabrication, and Installation).

- Block 3:** Enter the year the test standard was recognized or revised by the standard development organization.
- Block 4:** Enter the name of the standards development organization that developed and approved the test standard
- Block 5:** Enter the name of the test site that is currently recognized or requested where this testing will be conducted. If more than one test site, list the primary test site or company headquarters.
- Block 6:** Enter the physical street address, city, state, and postal code for the headquarters office of the applicant. For international addresses, enter the province followed by the country name in **Block 6c**.

Form II Part II Directions

Please identify at least one representative example of test equipment owned by the applicant that will be used to meet the requirements outlined in the requested test standard.

- Block 7:** **Block 7a.** Enter the clause(s) in the test standard that require the use of the test equipment.
- Block 7b.** Enter the type of measurement the test equipment will be used for in relation to the clause identified in **Block 7a**.
- Block 7c.** Enter the name and model number of the test equipment used to take the measurement defined in **Block 7b**.

Form II Part III Directions

Please enter test clauses associated with the requested test standard that require special consideration or special requirements when capturing test data. Identification of special requirements will facilitate the analysis of newly identified test standards.

- Block 8:** **Block 8a.** Enter the clause(s) in the test standard that the NRTL will address with test laboratory procedures.
- Block 8b.** Enter the procedure the applicant proposes to use to address the special requirements and / or consideration as outlined in the clause identified in **Block 8a**.
- Block 8c.** Check *Yes* or *No* to verify if the procedure defined in **Block 8b** is currently in place at the applicant test site.

Form II Part IV Directions

Please enter the approach to meeting the requirements for capturing and recording test data defined in the test standard.

- Block 9:** Provide a detailed description of the approach the applicant intends to use to capture and record test data. Include any test datasheets associated with this test standard with the applicant's submission.

Block 10 **Block 10a.** Enter the clause(s) in the test standard that contain specific data collection requirements.

Block 10 **Block 10b.** Enter the name of the datasheet and the item number used to capture this information. If
(continued) using an alternate data collection procedure, identify the procedure clause that describes how the test data for the clause(s) identified in **Block 10a.**

Form II Part V Directions

Please enter the special facilities or apparatus that is required to conduct the test for which the applicant applied.

Block 12 **Block 12a.** Enter the clause(s) in the test standard that have requirements for special facilities or apparatus.

Block 12b. Enter the procedure the applicant proposes to use to address the required special facility and / or apparatus outlined in the clause identified in **Block 12a.**

Block 12c. Check *Yes* or *No* to verify if the facilities and /or apparatus defined in **Block 12b** is currently in place at the applicant test site.

Form II Part VI Directions

Please enter the special facilities or apparatus that is required to conduct the test for which the applicant applied.

Block 13 Provide a description of how the requirements of the proposed test procedures will be integrated into the organization's training and qualification programs.

Block 14 Provide a description of how the requirements of the proposed test procedures will be integrated into the organization's factory surveillance programs.

Block 15 Enter the appropriate number of products currently tested by the applicant under this standard (*includes testing conducted outside the NRTL Program*). If unsure, provide best approximation or number over a specific time period. (Ex: 100 over past 12 months)

Block 16 Enter the number of products currently listed by the applicant under this standard (*includes testing conducted outside the NRTL Program*).

Form II Part VII Directions

Part VII provides an alternative method for validating a NRTL has the requisite capabilities to meet the requirements to conduct a test in accordance with the specifications outlined in the requested test standard. Part VII relies on comparing the currently recognized capabilities of a NRTL with comparable requirements outlined in the requested test standard. Part VII can be used to identify similarities in capabilities and differences. In identifying differences in capabilities, the NRTL need only demonstrate how these differences will be addressed in their operations. Because Part VII relies on currently recognized capabilities, it is not available for use by new initial applicants.

Block 13

Block 13a. Enter the clause of the requested test standard.

Block 13b. Enter the number of the comparable test standard

Block 13c. Enter the clause(s) in the test standard listed in **Block 13b** that defines comparable requirements to a requirement in the requested test standard.

Block 13d. Enter a description of the significant differences, if any, between the requirements outlined in the test standard listed in **Block 13a** and those outlined in the requested test standard

Block 13e. Enter any additional information the NRTL would need to include to demonstrate their capabilities in being able to perform the requirements identified in **Block 13d**.